





Retrieval-augmented Generation on Graph-structured Data



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Hippocratic AI⁴



SDM25-GraphRAG

Addressing real-world tasks desire knowledge

Just can't remember.....



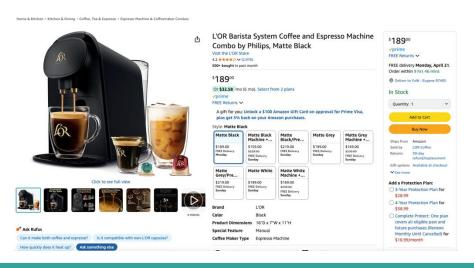
What are they talking about?

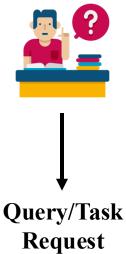






What should I look next?





Missing Knowledge!



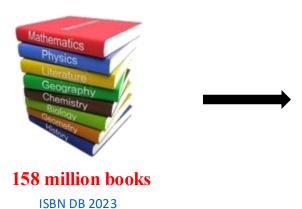






Real-world knowledge is so much!

Textbook Knowledge Base





2.5 petabytes, 1 billion books

Internet Knowledge Base



1.1 billion websitesMusemind 2024

Neural Knowledge Base



405 billion parametersHugging Face 2024

- We remember meanings, not details.
- We forget on purpose.
- Tiny active memory, Larger long-term memory.

Retrieval Knowledge to Augment Downstream Task is Rather Important!



Retrieving External Knowledge

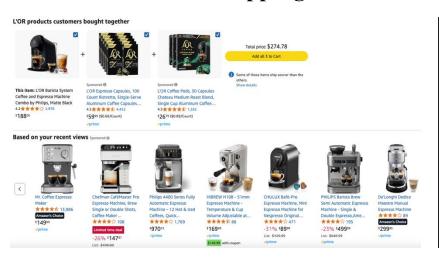
Open-book Exam

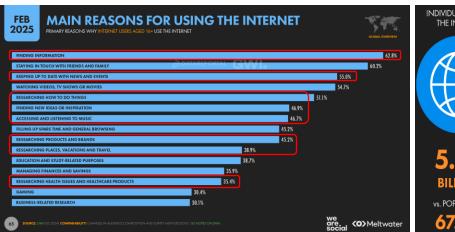


Google Search



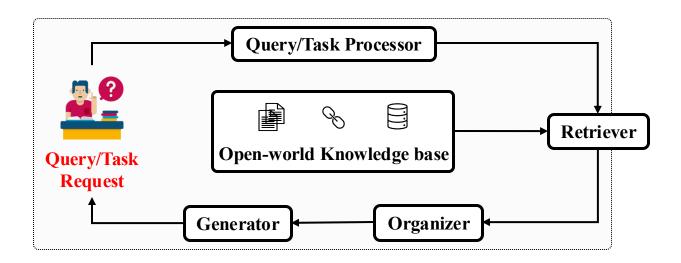
Online Shopping



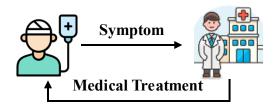




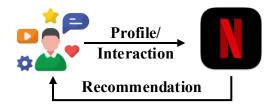




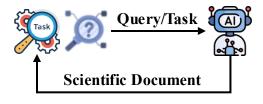
Query Q



Any idea why I might be sick?



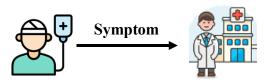
Can you recommend a mouse repellent that has a nice smell?



Find me papers that discuss improving condensers performance



Really tired.
Temperature is over 100.
Recently in France.
Drank a lot of tap water there.
Any idea why I might be sick?





Tired, Temperature France, Tap water. Why Sick?

$$\hat{Q} = \mathbf{\Omega}^{\mathbf{Processer}}(Q)$$

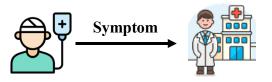
Really tired.

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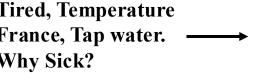
Drank a lot of tap water there.

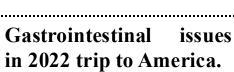
Any idea why I might be sick?





Tired, Temperature France, Tap water. Why Sick?





3 travelers to Southern France reported tired after drinking tap water.

Giardia Lamblia Infection transmitted via untreated tap water in Europe.

$$\hat{C} = \mathbf{\Omega}^{\text{Retriever}}(\hat{Q}, C)$$











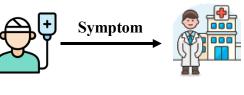
Really tired.

Temperature is over 100.

Recently in France.

Drank a lot of tap water there.

Any idea why I might be sick?





Tired, Temperature France, Tap water. Why Sick?



EHR

Drug Doc Social Circle



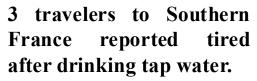


3 travelers to Southern France reported tired after drinking tap water.

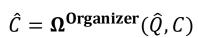
Giardia Lamblia Infection transmitted via untreated tap water in Europe.



Gastrointestinal issues in 2022 trip to America.



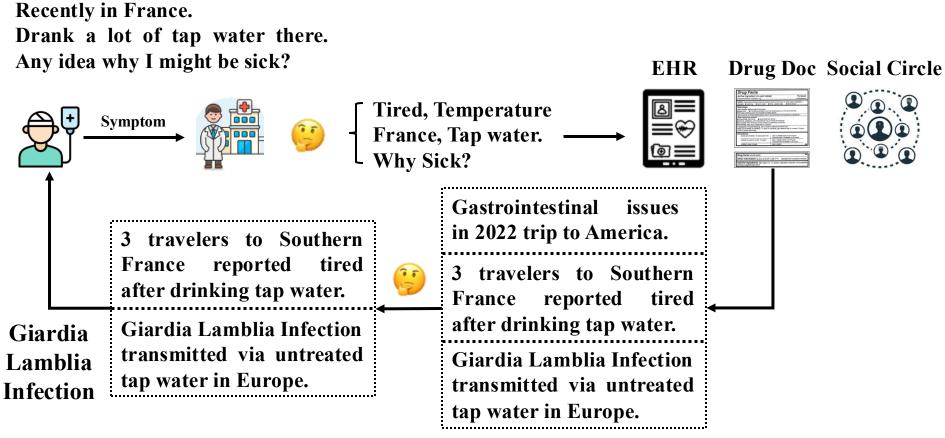
Giardia Lamblia Infection transmitted via untreated tap water in Europe.





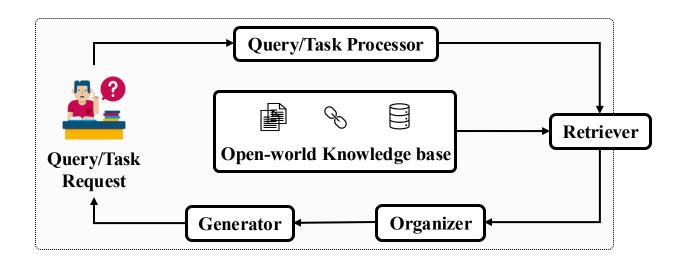
Really tired.

Temperature is over 100.



$$A = \mathbf{\Omega}^{\text{Generator}}(\hat{Q}, \hat{C})$$



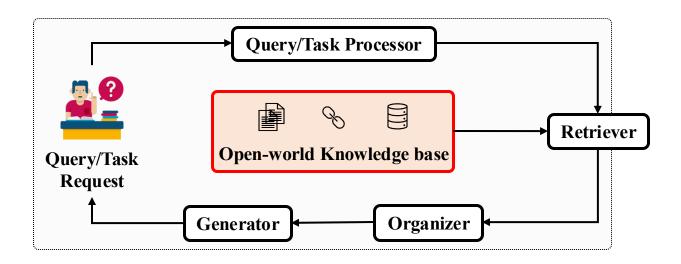


$$(2) \hat{Q} = \mathbf{\Omega}^{\mathbf{Processer}}(Q)$$

(2)
$$\hat{Q} = \Omega^{\text{Processer}}(Q)$$
 (4) $C = \Omega^{\text{Retriever}}(\hat{Q}, G)$

(5)
$$\hat{C} = \Omega^{\text{Organizer}}(\hat{Q}, C)$$

(6)
$$A = \Omega^{\text{Generator}}(\hat{Q}, \hat{C})$$



(2)
$$\hat{Q} = \Omega^{\text{Processer}}(Q)$$
 (4) $C = \Omega^{\text{Retriever}}(\hat{Q}, G)$

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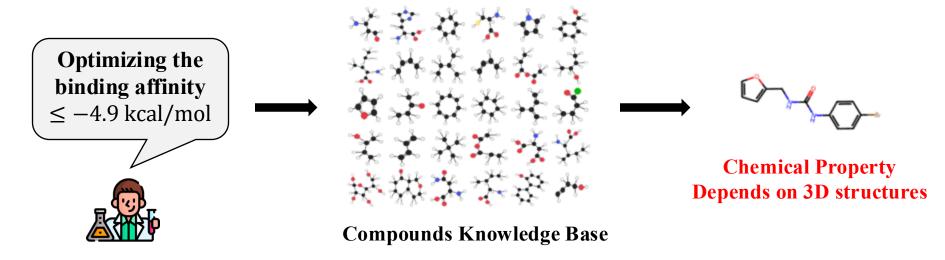
(5)
$$\hat{C} = \Omega^{\text{Organizer}}(\hat{Q}, C)$$

(6)
$$A = \Omega^{Generator}(\hat{Q}, \hat{C})$$

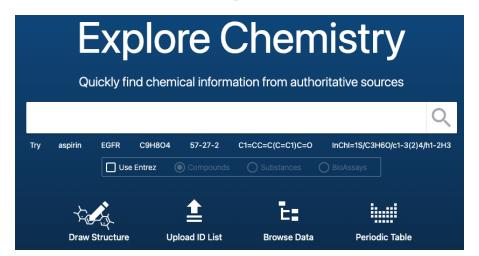
Real-world knowledge can be extremely complex and heterogeneous!



Retrieval-augmented Generation (RAG) – Drug Design



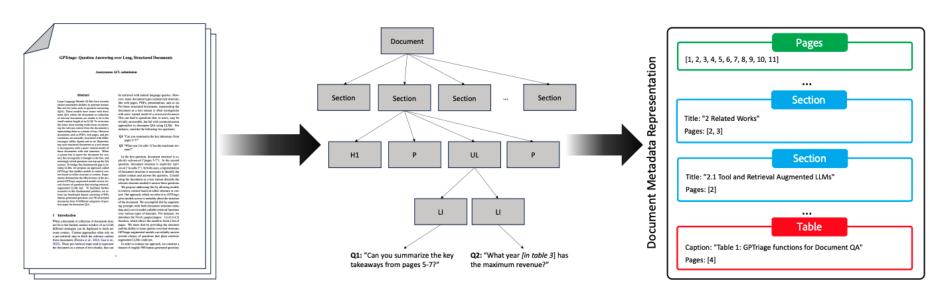


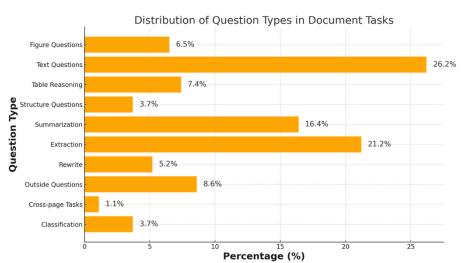


- 119M Compounds
- 329M Substances
- **297M** Bioactivities
- 42M Literature
- 54M Patents pubchem



Retrieval-augmented Generation (RAG) – Document





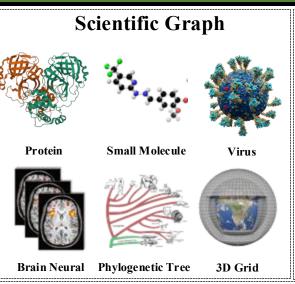


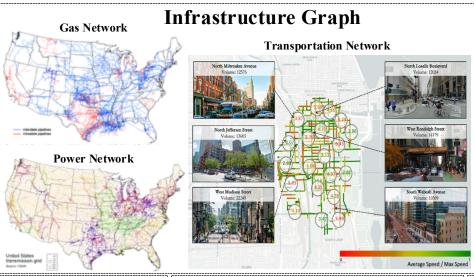


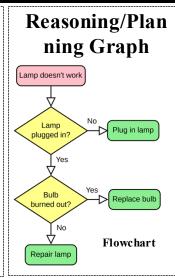


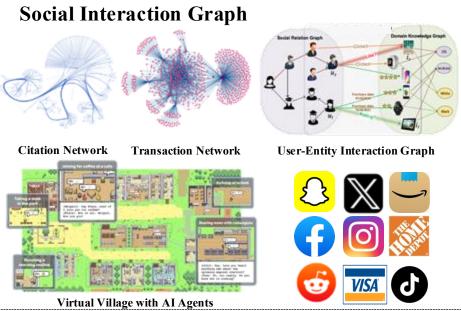


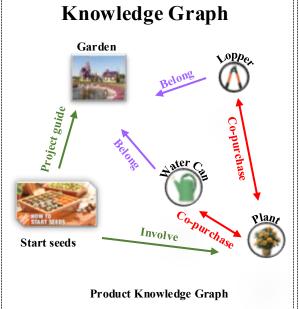
Heterogeneous knowledge can be represented as Graph





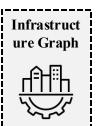




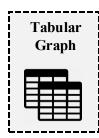


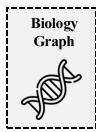


Graph Retrieval-augmented Generation (GraphRAG)



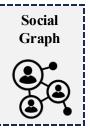


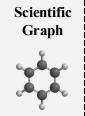




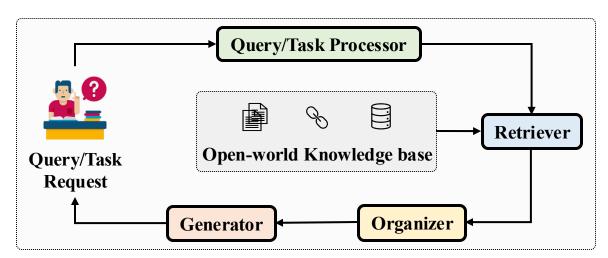




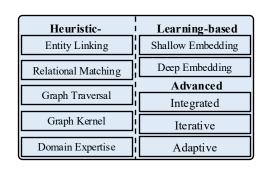




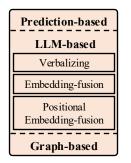








Reranking	Verbalization		
Pruning	Linear-based		
Semantic-based	Template-based		
Syntactic-based	Augmentation		
Structure-based	Structure		
Dynamic	Feature		



Graph Construction

Explicit Construction

Implicit Construction





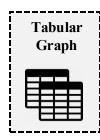


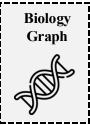


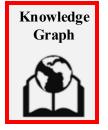
Graph Retrieval-augmented Generation (GraphRAG)

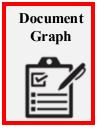


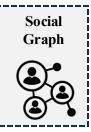


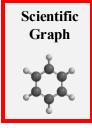




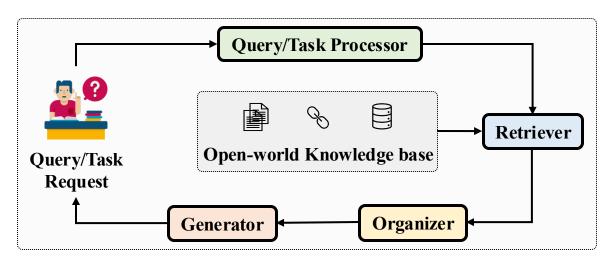


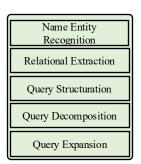


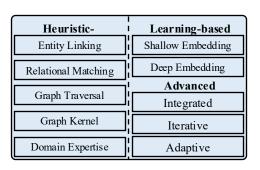




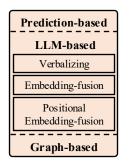








Reranking	Verbalization
Pruning	Linear-based
Semantic-based	Template-based
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Graph Construction

Explicit Construction

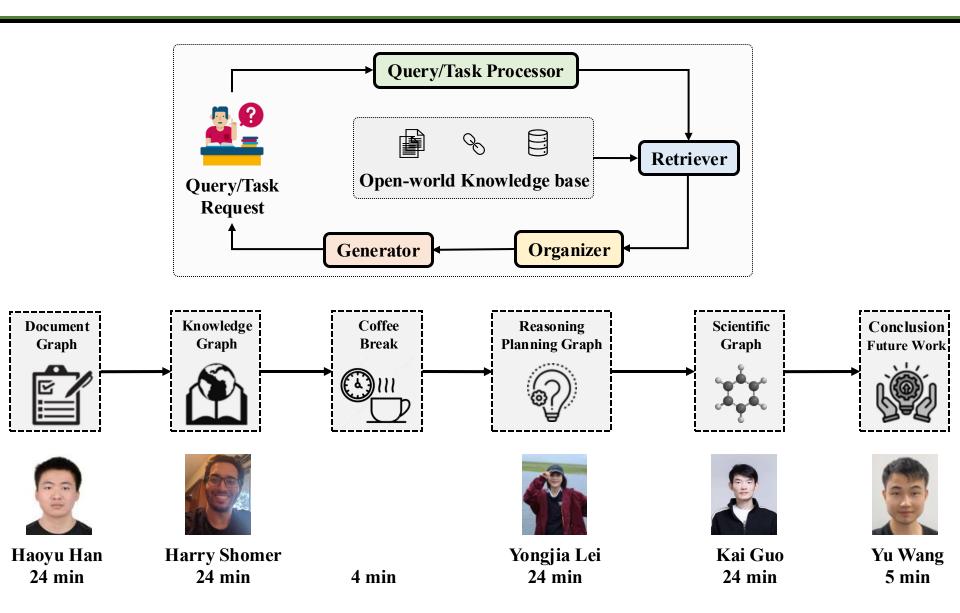
Implicit Construction



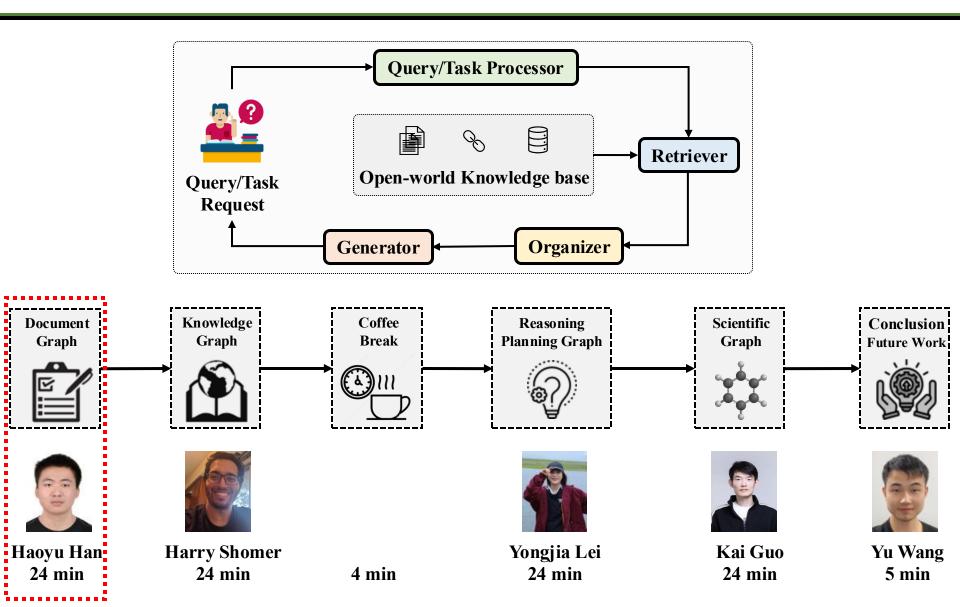




Outline



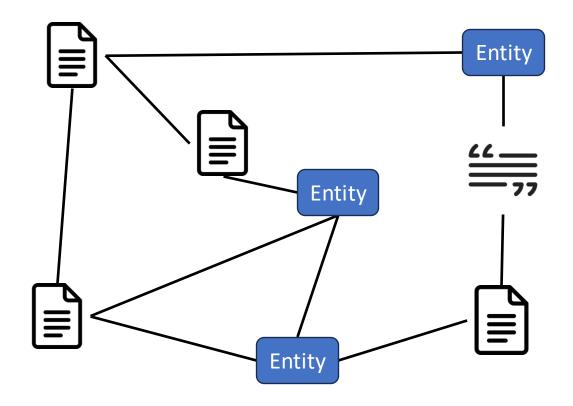
Outline





Document Graph

Connections between different documents or various granularity of documents.

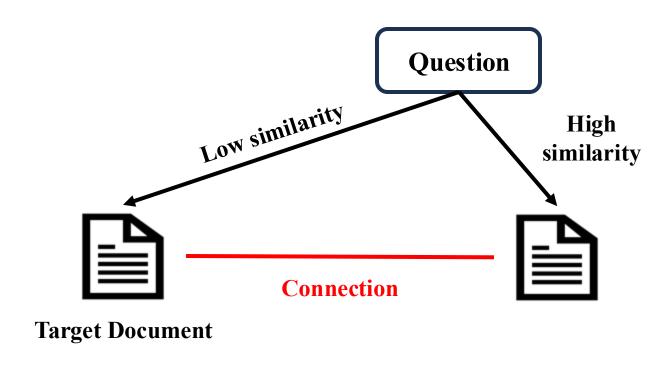


Why should we build document graphs?



Document Graph Motivation - Beyond Semantic Similarity

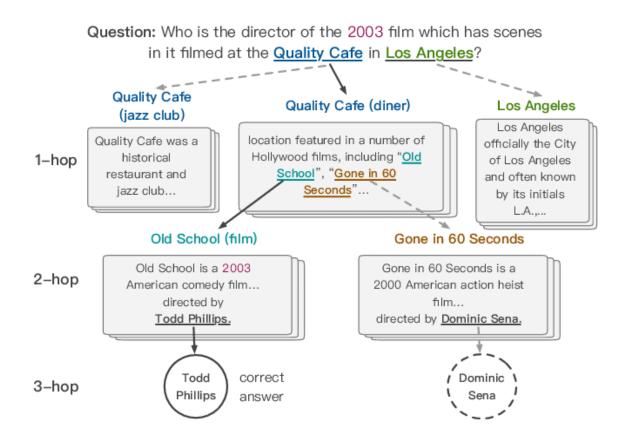
Target documents may have low similarity with the question. But can still be retrieved via graph-based connections.





Document Graph Motivation - Multi-hop Reasoning

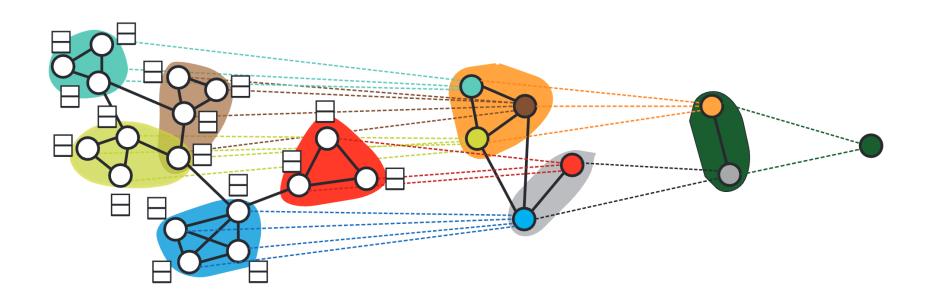
The graph structure inherently supports multi-hop reasoning.





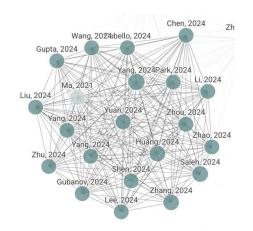
Document Graph Motivation - Global Summarization

Hierarchical graph structure supports global information retrieval.

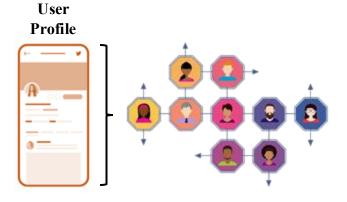


Document Graph Construction – Explicit Construction

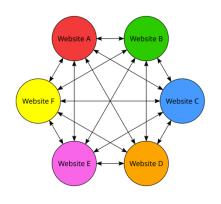
Building graphs using (pre)-defined relationships present in the data.



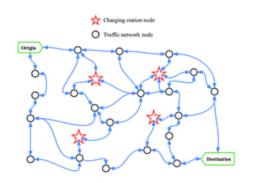
Citation



Social Relation



Web Hyperlinks



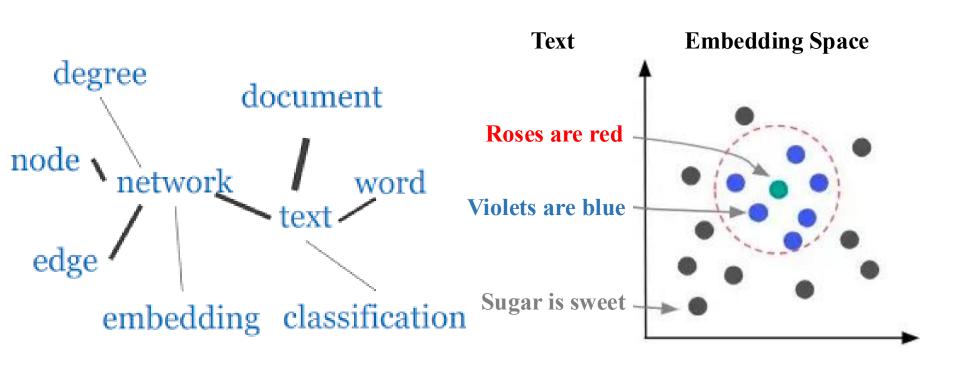
Spatial Relation





Document Graph Construction – Implicit Construction

Building graphs by leveraging latent or implicit relations between nodes



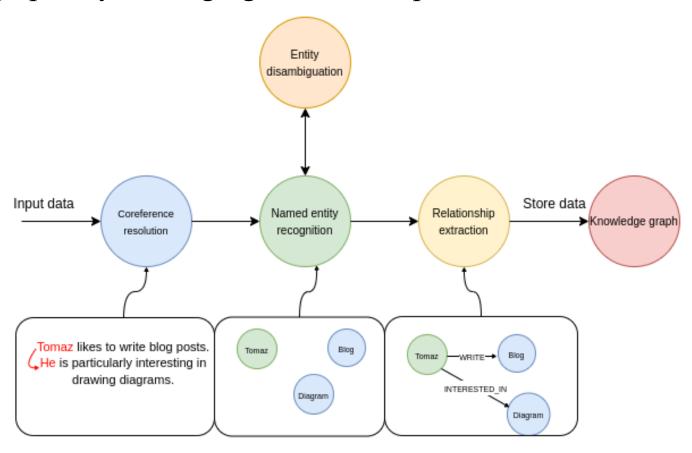
Word Co-Occurrence

Semantic Similarity



Document Graph Construction – Implicit Construction

Building graphs by leveraging latent or implicit relations between nodes

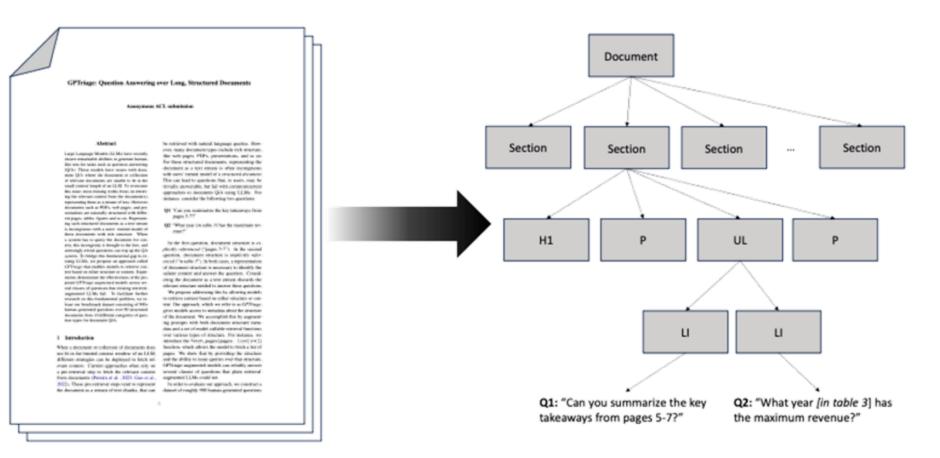


Entity and Relation Extraction



Document Graph Construction – Implicit Construction

Building graphs by leveraging latent or implicit relations between nodes



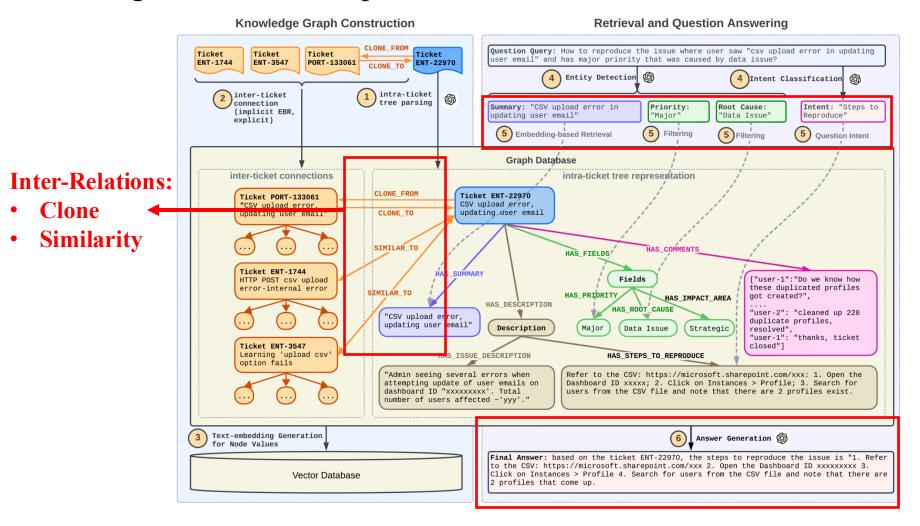
Document Structure







Leverage the solution of previous tickets to answer the current ticket





Leverage the solution of previous tickets to answer the current ticket

Table 1: Retrieval Performance

Table 2: Question Answering Performance

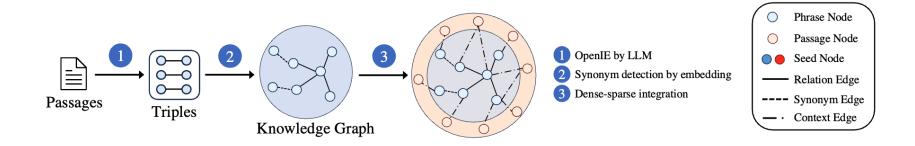
	MRR	Recall@K		NDCG@K		
		K=1	K=3	K=1	K=3	
Baseline	0.522	0.400	0.640	0.400	0.520	
Experiment	0.927	0.860	1.000	0.860	0.946	

	BLEU	METEOR	ROUGE
Baseline	0.057	0.279	0.183
Experiment	0.377	0.613	0.546

Table 3: Customer Support Issue Resolution Time

Group	Mean	P50	P90
Tool Not Used Tool Used			87 Hours 47 hours

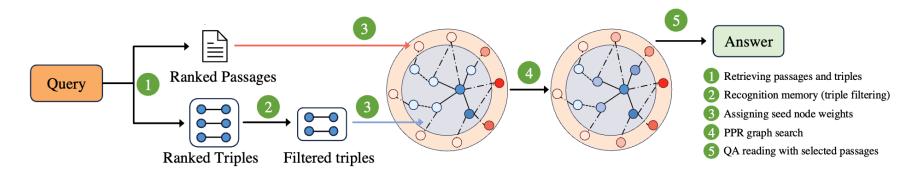
HippoRAG 2 - Implicit graph construction from documents



- 1. Triplet Construction: LLMs extract entities/relations
- 2. Identify synonymous entities and connect them
- 3. Connect Extracted Entities with Originating Passages



HippoRAG 2 - Retrieval & QA



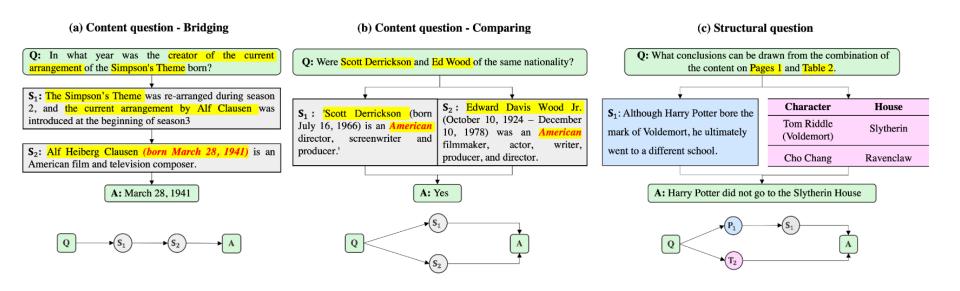
- 1. Passage Retrieval by Semantic Similarity
- 2. Triplets-Retrieval
 - a. Query Entity Extraction and map to the graph
 - b. Similarity (Query, Nodes)
 - c. Similarity (Query, Triplets)
- 3. Retrieve on the Graph: Personalized PageRank search
- 4. Answer Generation



	Simple QA		Multi-Hop QA				Discourse Understanding	
Retrieval	NQ	PopQA	MuSiQue	2Wiki	HotpotQA	LV-Eval	NarrativeQA	Avg
		Sin	iple Baselin	es				
None	54.9	32.5	26.1	42.8	47.3	6.0	12.9	38.4
Contriever (Izacard et al., 2022)	58.9	53.1	31.3	41.9	62.3	8.1	19.7	46.9
BM25 (Robertson & Walker, 1994)	59.0	49.9	28.8	51.2	63.4	5.9	18.3	47.7
GTR (T5-base) (Ni et al., 2022)	59.9	$\underline{56.2}$	34.6	52.8	62.8	7.1	19.9	50.4
		Large F	Imbedding N	<u>Iodels</u>				
GTE-Qwen2-7B-Instruct (Li et al., 2023)	62.0	56.3	40.9	60.0	71.0	7.1	21.3	54.9
GritLM-7B (Muennighoff et al., 2024)	61.3	55.8	44.8	60.6	73.3	9.8	23.9	56.1
NV-Embed-v2 (7B) (Lee et al., 2025)	61.9	55.7	45.7	61.5	75.3	9.8	25.7	57.0
		Structur	-A <mark>ugmente</mark>	d RAG				
RAPTOR (Sarthi et al., 2024)	50.7	$\underline{56.2}$	28.9	52.1	69.5	5.0	21.4	48.8
GraphRAG (Edge et al., 2024)	46.9	48.1	38.5	58.6	68.6	11.2	23.0	49.6
LightRAG (Guo et al., 2024)	16.6	2.4	1.6	11.6	2.4	1.0	3.7	6.6
HippoRAG (Gutiérrez et al., 2024)	55.3	55.9	35.1	71.8	63.5	8.4	16.3	53.1
HippoRAG 2	63.3	56.2	48.6	71.0	75.5	12.9	25.9	59 .8
)	
					_			
			. ↓					

GraphRAG is typically more effective for multi-hop QA.

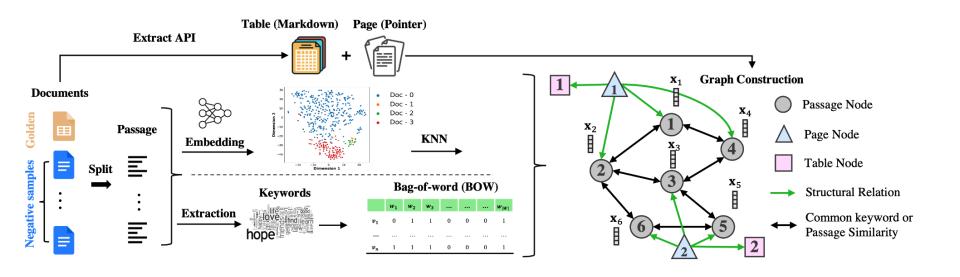




Lexical similarity

Semantic similarity

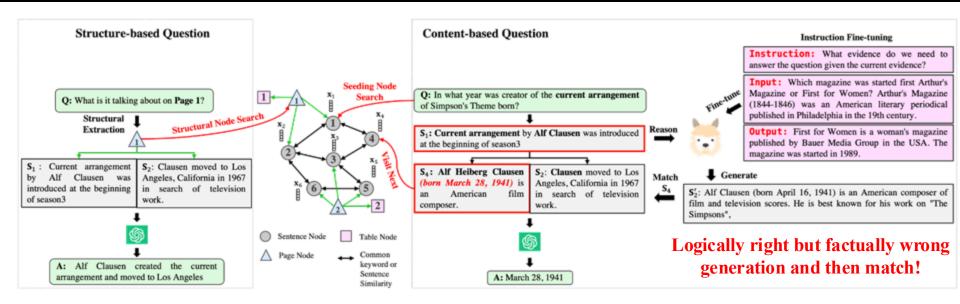
Document Structure



1. Graph Construction

- a. TF-IDF construction
- b. KNN construction

- c. Connect passages share same entity
- d. Add Table/Page Document Meta-Structure



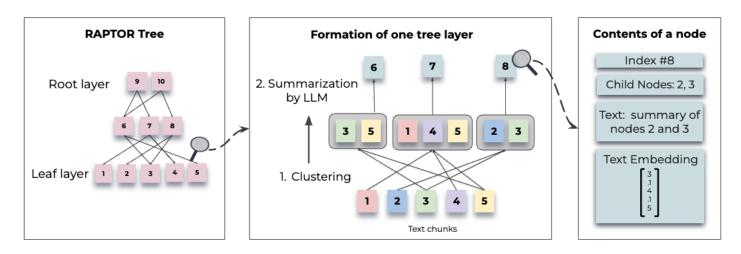
2. Retrieval (LLM traversal agent for reasoning and grounding)

- a. Initialize the seeding passage with similarity search
- b. LLMs predict the next passage to explore
- c. Retrieve passages based on LLM's generation



RAPTOR – Tree-based Retrieval

Tree structure to capture **High/Low-level** information



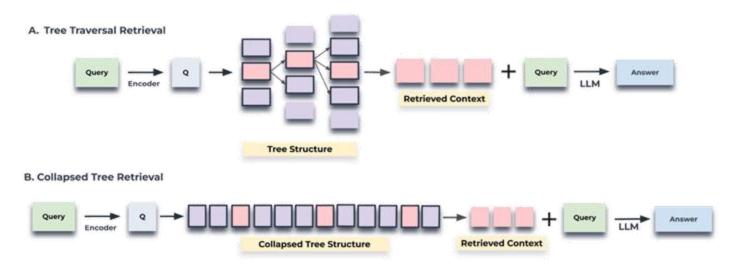
1. Graph Construction

- a. Represent each leaf node as a text chunk
- b. Apply clustering algorithms to group related chunks
- c. Summarize each cluster to form higher-level nodes
- d. Repeat the construction process



RAPTOR – Tree-based Retrieval

Tree structure to capture **High/Low-level** information



2. Retrieval

- a. Tree Traversal Retrieval: Root-to-Leaf Traversal, Progressively Narrowing Down
- b. Collapsed Tree Retrieval: Flatten Tree Structure, Independently Retrieve



Document Graph – Question-Answering

RAPTOR – Tree-based Retrieval

Tree structure to capture **High/Low-level** information

Model	ROUGE	BLEU-1	BLEU-4	METEOR
SBERT with RAPTOR	30.87%	23.50%	6.42%	19.20%
SBERT without RAPTOR	29.26%	22.56%	5.95%	18.15%
BM25 with RAPTOR	27.93%	21.17%	5.70%	17.03%
BM25 without RAPTOR	23.52%	17.73%	4.65%	13.98%
DPR with RAPTOR	30.94%	23.51%	6.45%	19.05%
DPR without RAPTOR	29.56%	22.84%	6.12%	18.44%

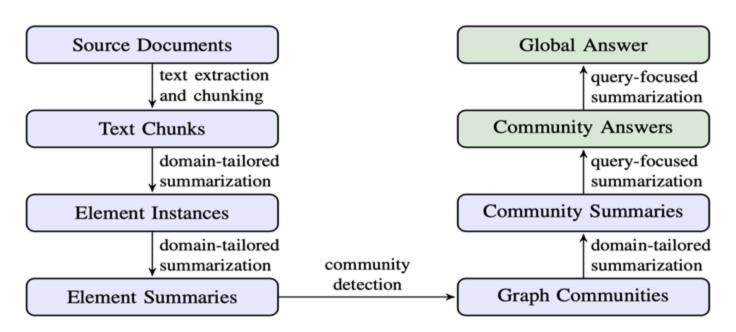
Tree-based retrieval improves global QA performance.



Document Graph – Document Summarization

Microsoft GraphRAG

Corpus to summarize too large vs LLM context window is limited



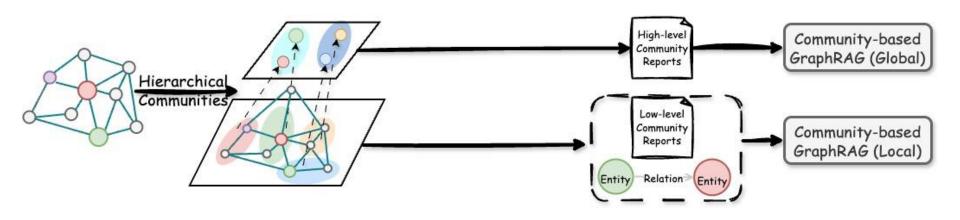
Extract a knowledge graph from the whole corpus.

Hierarchical Community
Detection and Summarization
Multiple Granularities



Document Graph – Document Summarization

Microsoft GraphRAG



- 1. Local Retrieval from leaf nodes
- 2. Global Retrieval from summarization nodes



Document Graph – Document Summarization

Microsoft GraphRAG

Podcast transcripts

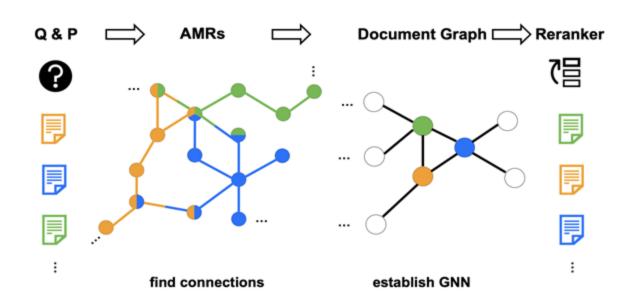
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C0	72	50	50	53	50	49	C0	77	50	50	50	46	44	C0	43	41	50	49	47	48	C0	35	45	50	47	48	48
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C2	78	57	50	48	50	52	C2	81	57	54	56	50	48	C2	51	48	53	51	50	51	C2	40	49	52	50	50	50
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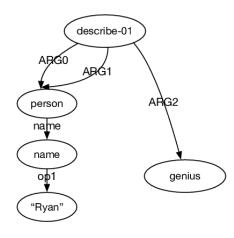
GraphRAG is typically superior in both comprehensiveness and diversity.



Document Graph – Document Retrieval

G-RAG: A document-graph-based reranker





AMR graph: Ryan's description of himself: a genius

1. Graph Construction

- a. Build Abstract Meaning Representation (AMR) graphs
- b. Connect documents share same nodes



Document Graph – Document Retrieval

G-RAG: A document-graph-based reranker

2. GNNs for Reranking

Document and query embedding:

$$\mathbf{x}_v^\ell = g\left(\mathbf{x}_v^{\ell-1}, igcup_{u \in \mathcal{N}(v)} f\left(\mathbf{x}_u^{\ell-1}, \mathbf{e}_{uv}^{\ell-1}
ight)
ight) \quad \mathbf{y} = \mathrm{Encode}(q).$$

Ranking based on the similarity:

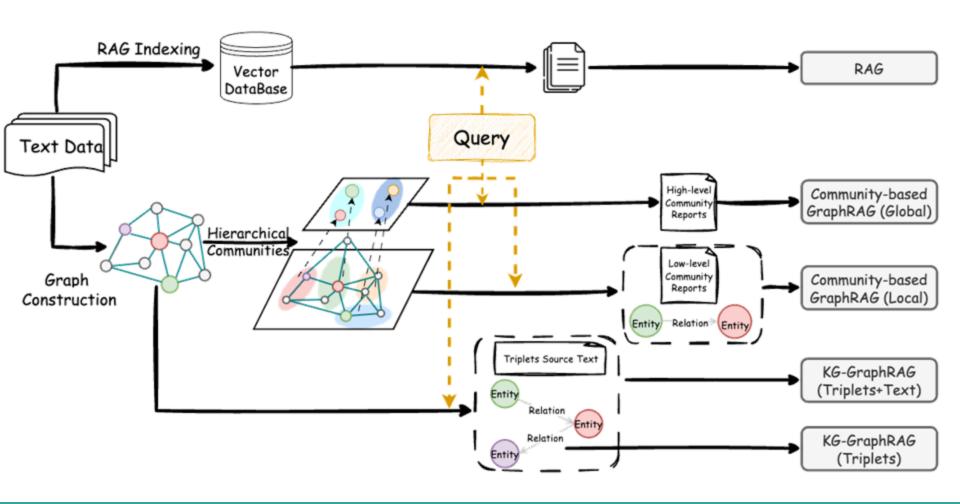
$$s_i = \mathbf{y}^ op \mathbf{x}_{v_i}^L$$

Ranking loss

$$\mathcal{RL}_{q}\left(s_{i},s_{j},r
ight)=\max\left(0,-r\left(s_{i}-s_{j}
ight)+1
ight),$$

RAG vs. GraphRAG

A systematic evaluation between RAG and GraphRAG.





RAG vs. GraphRAG: QA Task

Single-Hop

Multi-Hop

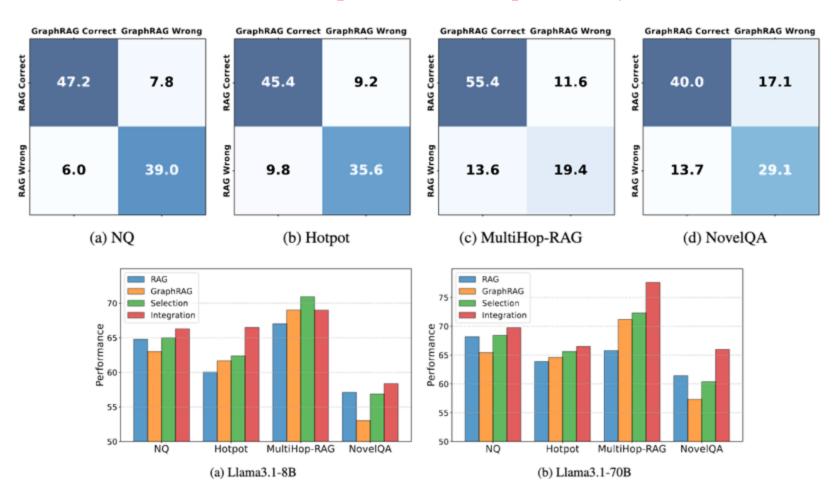
			N	Q			Hotpot								
Method	Llama 3.1-8B			Lla	ma 3.1-	70B	Lla	ama 3.1	8B	Llama 3.1-70B					
	P	R	F1	P	R	F1	P	R	F1	P	R	F1			
RAG	71.7	63.93	64.78	74.55	67.82	68.18	62.32	60.47	60.04	66.34	63.99	63.88			
KG-GraphRAG (Triplets only)	40.09	33.56	34.28	37.84	31.22	28.50	26.88	24.81	25.02	32.59	30.63	30.73			
KG-GraphRAG (Triplets+Text)	58.36	48.93	50.27	60.91	52.75	53.88	45.22	42.85	42.60	51.44	48.99	48.75			
Community-GraphRAG (Local)	69.48	62.54	63.01	71.27	65.46	65.44	64.14	62.08	61.66	67.20	64.89	64.60			
Community-GraphRAG (Global)	60.76	54.99	54.48	61.15	55.52	55.05	45.72	47.60	45.16	48.33	48.56	46.99			

- RAG excels on detailed single-hop queries.
- GraphRAG, particularly CommunityGraphRAG (Local), excels on multi-hop queries.
- Community-GraphRAG (Global) often struggles on QA tasks.
- KG-based GraphRAG also generally underperform on QA tasks due to the incomplete graph.



RAG vs. GraphRAG: QA Task

RAG and GraphRAG are Complementary!



Combining RAG and GraphRAG yields better performance!



RAG vs. GraphRAG: Summarization Task

Ground Truth (Human Answer) as Judge

Table 4: The performance of query-based single document summarization task using Llama3.1-8B.

			SQuA	LITY		QMSum						
Method	R	OUGE	-2	B	ERTSco	re	RO	OUGE-	-2	BERTScore		
	P	R	F1	P	R	F1	P	R	F1	P	R	F1
RAG	15.09	8.74	10.08	74.54	81.00	77.62	21.50	3.80	6.32	81.03	84.45	82.69
KG-GraphRAG (Triplets only)	11.99	6.16	7.41	82.46	84.30	83.17	13.71	2.55	4.15	80.16	82.96	81.52
KG-GraphRAG (Triplets+Text)	15.00	9.48	10.52	84.37	85.88	84.92	16.83	3.32	5.38	80.92	83.64	82.25
Community-GraphRAG (Local)	15.82	8.64	10.10	83.93	85.84	84.66	20.54	3.35	5.64	80.63	84.13	82.34
Community-GraphRAG (Global)	10.23	6.21	6.99	82.68	84.26	83.30	10.54	1.97	3.23	79.79	82.47	81.10
Integration	<u>15.69</u>	<u>9.32</u>	10.67	74.56	81.22	77.73	21.97	3.80	6.34	80.89	84.47	<u>82.63</u>

Table 5: The performance of query-based multiple document summarization task using Llama3.1-8B.

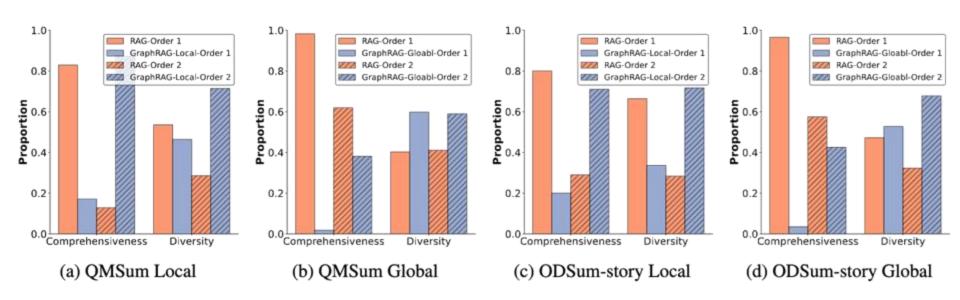
			ODSu	ım-story	7	ODSum-meeting							
Method	RO	OUGE-	-2	В	ERTSco	re	RO	OUGE-	2	BERTScore			
	P	R	F1	P	R	F1	P	R	F1	P	R	F1	
RAG	15.39	8.44	9.81	83.87	85.74	84.57	15.50	6.43	8.77	83.12	85.84	84.45	
KG-GraphRAG (Triplets only)	11.02	5.56	6.62	82.09	83.91	82.77	11.64	4.87	6.58	81.13	84.32	82.69	
KG-GraphRAG (Triplets+Text)	9.19	5.82	6.22	79.39	83.30	81.03	11.97	4.97	6.72	81.50	84.41	82.92	
Community-GraphRAG (Local)	13.84	7.19	8.49	83.19	85.07	83.90	<u>15.65</u>	5.66	8.02	82.44	85.54	83.96	
Community-GraphRAG (Global)	9.40	4.47	5.46	81.46	83.54	82.30	11.44	3.89	5.59	81.20	84.50	82.81	
Integration	14.77	8.55	9.53	83.73	<u>85.56</u>	84.40	15.69	<u>6.15</u>	<u>8.51</u>	82.87	85.81	84.31	

RAG aligns more closely with human-written answers.



RAG vs. GraphRAG: Summarization Task

LLM as Judge



- 1. Strong position bias is observed
- 2. Community-based GraphRAG with global search prefers corpus global structure



Document Graph - Future Works

1. Graph Construction

- a. Task-specific graph construction
- b. Balancing efficiency and graph completeness

2. Retrieval and Traversal

- a. Adaptive retrieval strategies based on query type and complexity
- b. Multi-hop retrieval with reasoning over graph structure

3. RAG and GraphRAG Integration

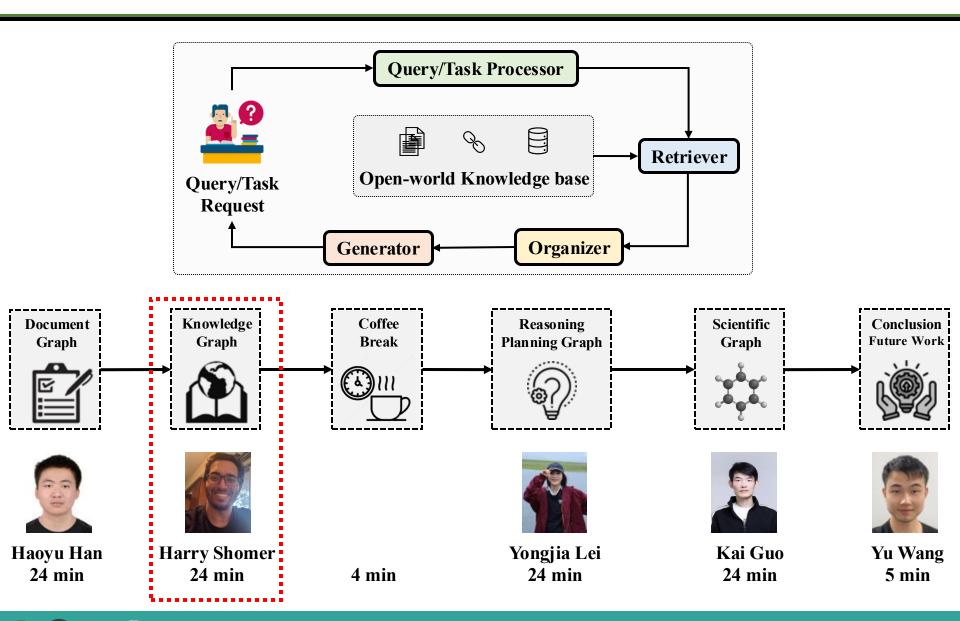
- a. Analyzing the Pros and Cons of RAG and GraphRAG
- b. Designing methods to combine their strengths

4. Evaluation

- a. New benchmarks designed specifically for graph-based retrieval and generation
- b. Proposing fine-grained evaluation metrics

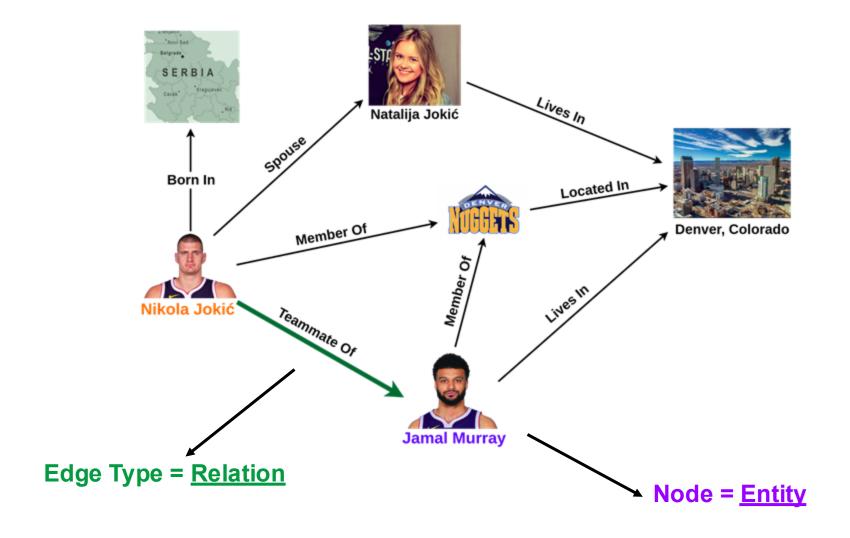


Outline



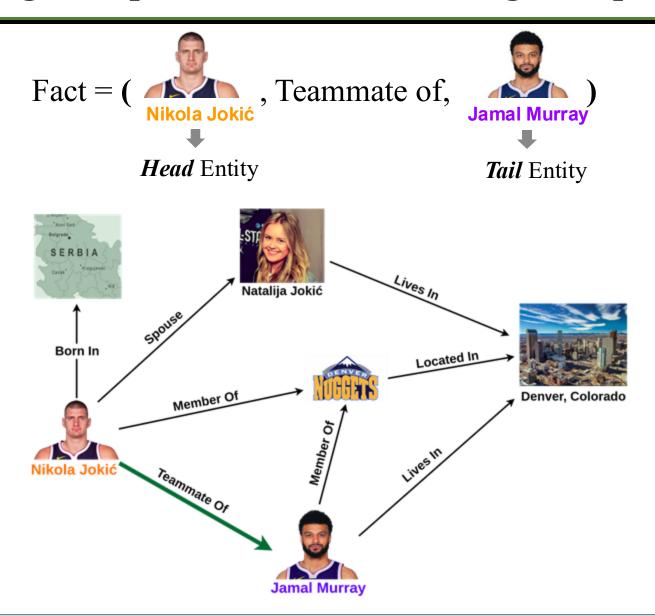


Knowledge Graph - What are Knowledge Graph (KGs)?





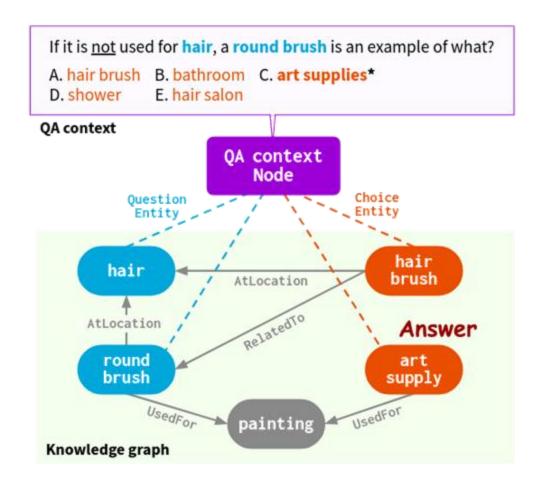
Knowledge Graph - What are Knowledge Graph (KGs)?





Knowledge Graph - Tasks

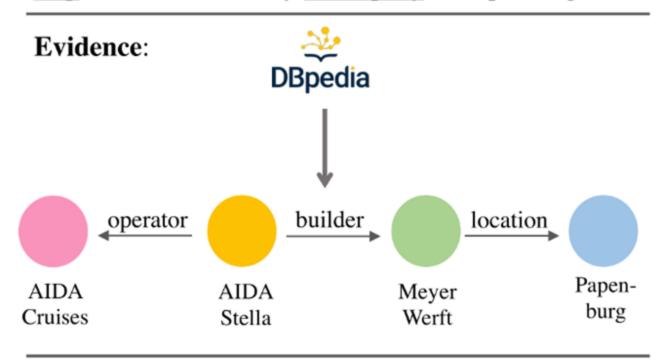
Question Answering



Knowledge Graph - Tasks

Fack Checking

Claim: Yeah! Actually AIDA Cruise line operated a ship which was built by a company in Papenburg!



Label: SUPPORTED



Knowledge Graph - Tasks

Knowledge Graph Completion

Given:



, Lives In, ???

Nikola Jokić

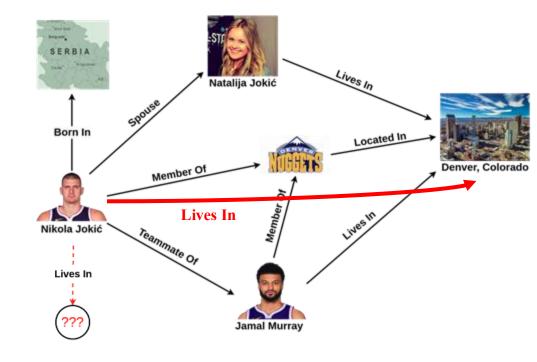
Given:



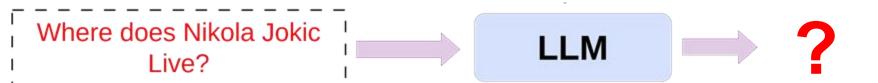
, Lives In,



Denver, CO

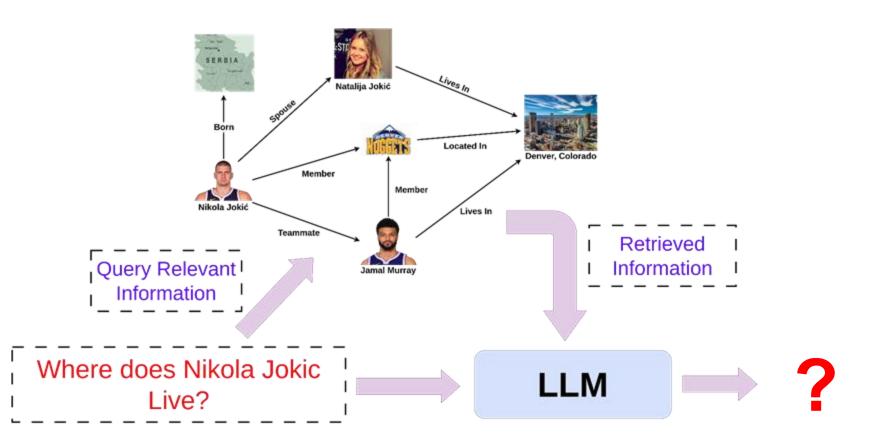


Knowledge Graph - Using KGs for GraphRAG



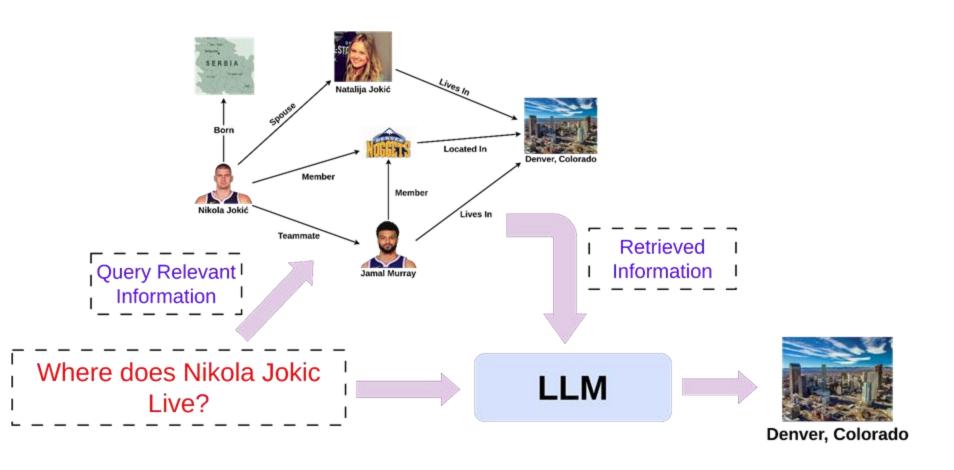


Knowledge Graph - Using KGs for GraphRAG





Knowledge Graph - Using KGs for GraphRAG





Knowledge Graph - How are KGs are Constructed?

1) Manual Construction

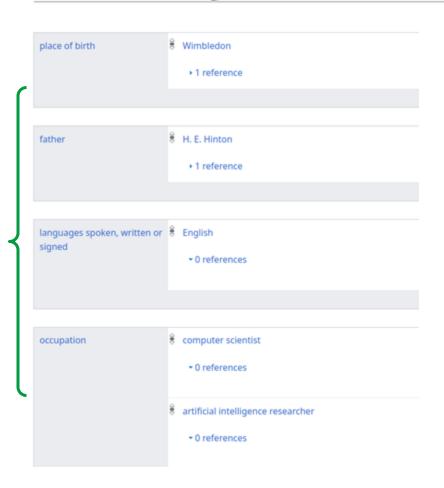
- Done via human annotation
- Popular example is the WikiData database



Knowledge Graph - How are KGs are Constructed?



Facts with Hinton as Head Entity





Knowledge Graph - How are KGs are Constructed?

1) Manual Construction

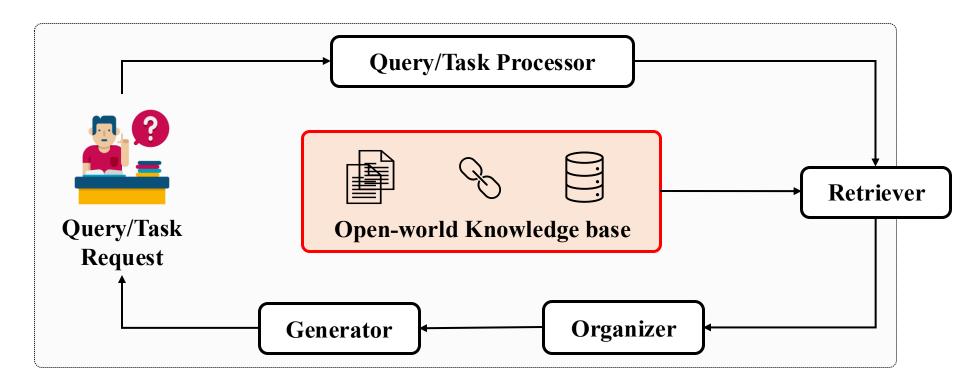
- Done via human annotation
- Popular example is the WikiData database [1]
- 2) Rule-Based Construction

3) LLM-Based Construction

Covered in last section



Knowledge Graph - Pipeline for GraphRAG on KGs





Knowledge Graph - GraphRAG for KGs

- A key difference in KG GraphRAG frameworks is the retrieval method
 - "How do we retrieve relevant facts for our query?"

• Keys retrieval strategies:

- Subgraph-based
- Traversal-based
- GNN-based
- Other (Agent, Semantic similarity)



Knowledge Graph - GraphRAG for KGs

- A key difference in KG GraphRAG frameworks is the retrieval method
 - "How do we retrieve relevant facts for our query?"

- Keys retrieval strategies:
 - Subgraph-based: MindMap [1]
 - Traversal-based: RoG [2]
 - GNN-based: SubGraphRAG [3]
 - Other (Agent, Semantic similarity)

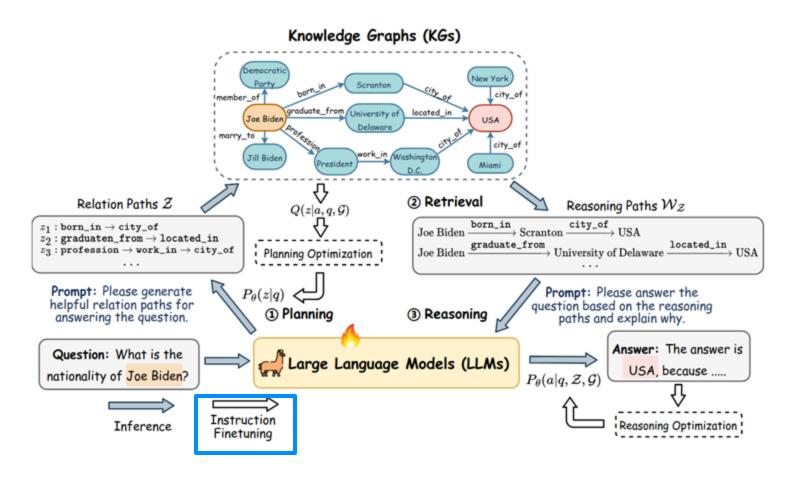
- [1] "MindMap: Knowledge Graph Prompting Sparks Graph of Thoughts in Large Language Models". ACL 2024.
- [2] "Reasoning on Graphs: Faithful and Interpretable Large Language Model Reasoning." ICLR 2024.
- [3] "Simple is Effective: The Roles of Graphs and Large Language Models in Knowledge-Graph-Based Retrieval-Augmented Generation." ICLR 2025.



Knowledge Graph - Reasoning on Graph (RoG)

Motivation: How to extract a subset of "faithful and reliable" paths for the query?

Basic Idea: Extract relevant paths from a KG for a given query

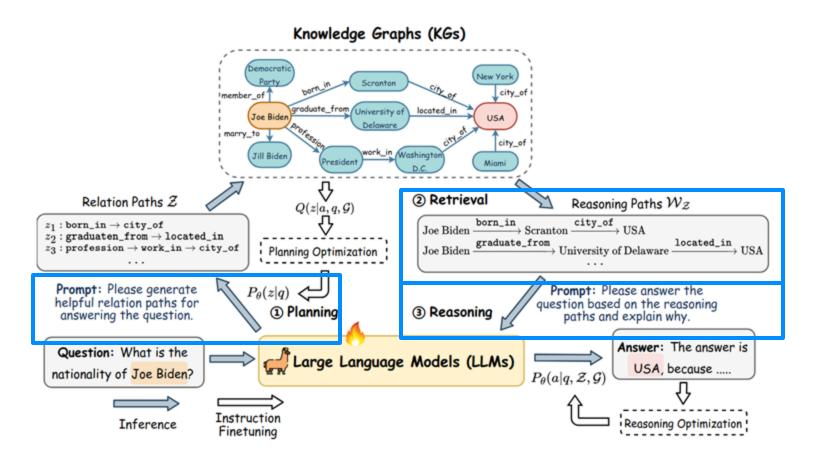




Knowledge Graph - Reasoning on Graph (RoG)

Motivation: How to extract a subset of "faithful and reliable" paths for the query?

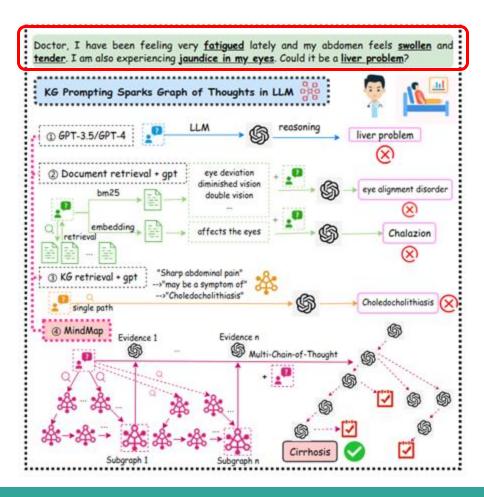
Basic Idea: Extract paths that follow specific templates, outputted by a LLM





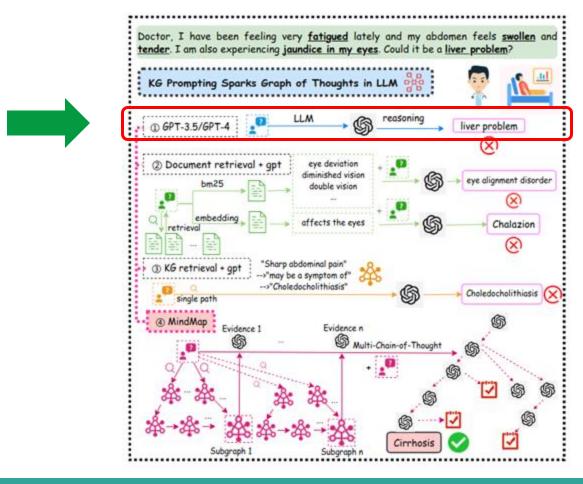
Motivation: Explainable and diverse reasoning process to mitigate hallucinations





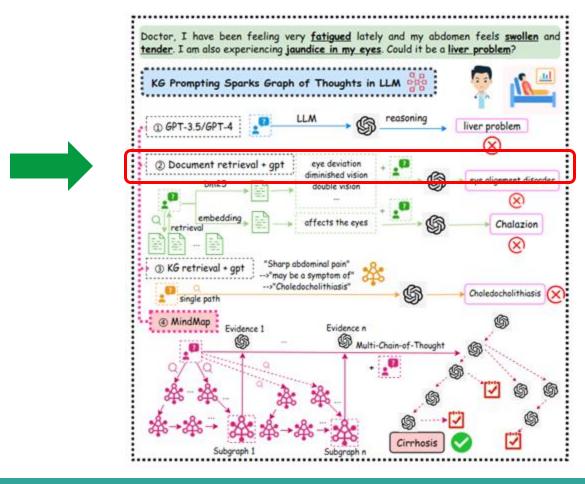


Motivation: Explainable and diverse reasoning process to mitigate hallucinations



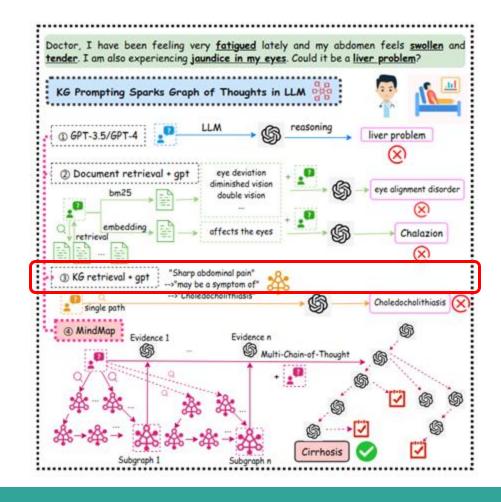


Motivation: Explainable and diverse reasoning process to mitigate hallucinations



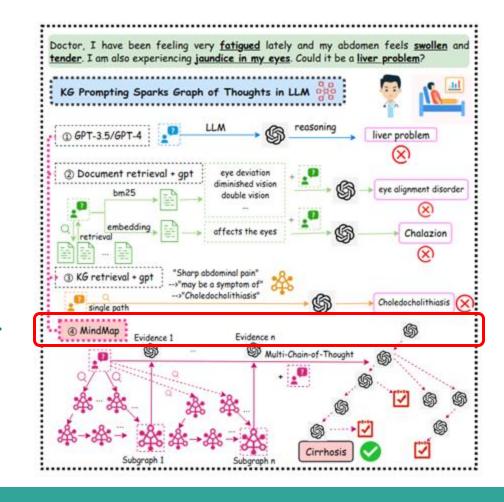


Motivation: Explainable and diverse reasoning process to mitigate hallucinations



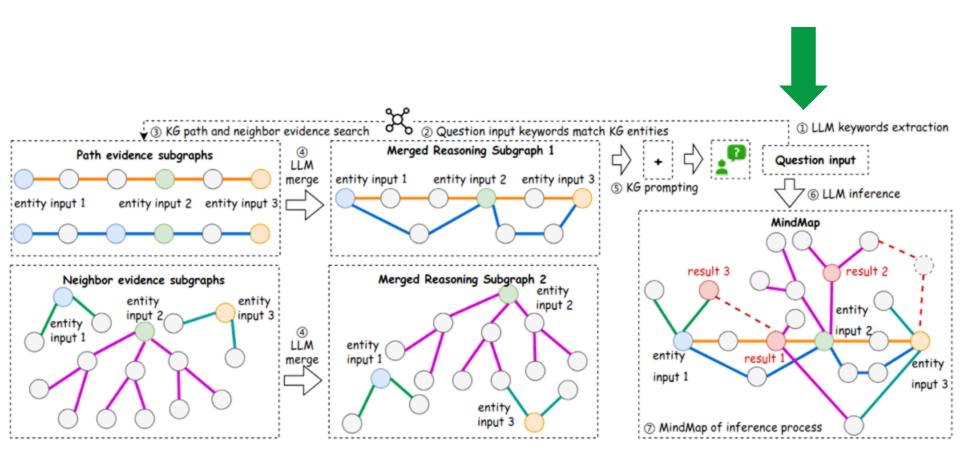


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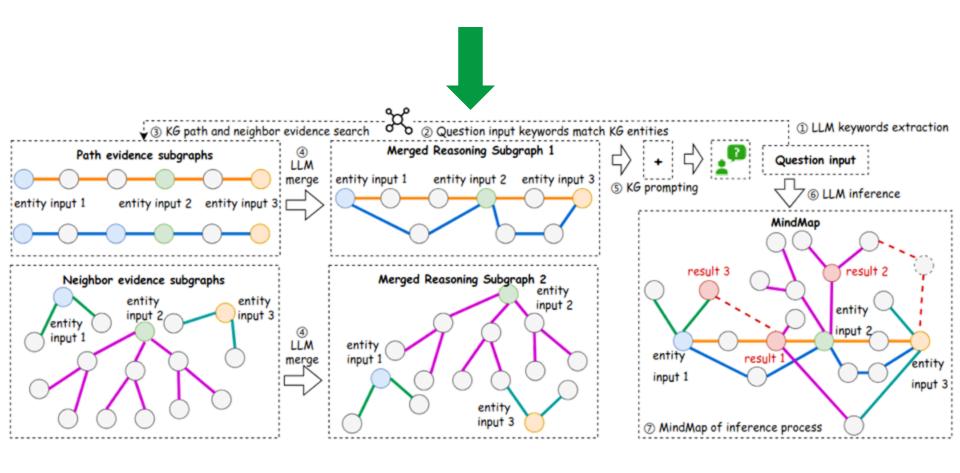


Motivation: Explainable and diverse reasoning process to mitigate hallucinations



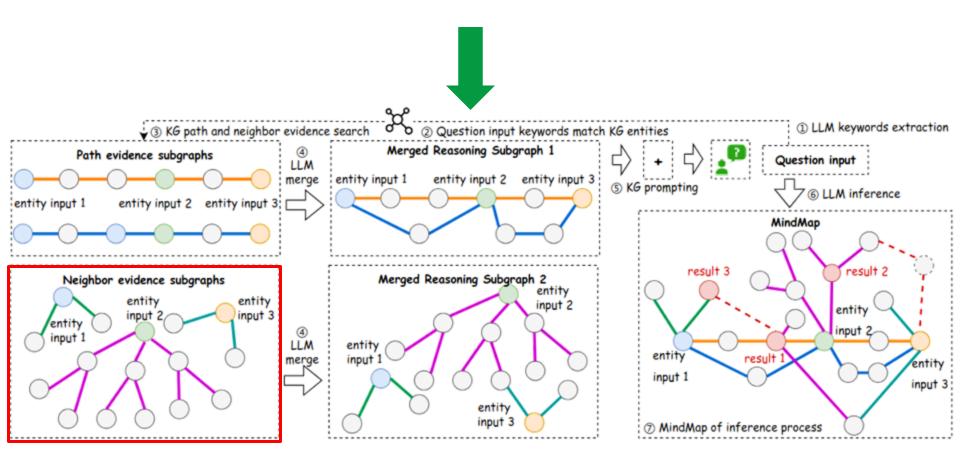


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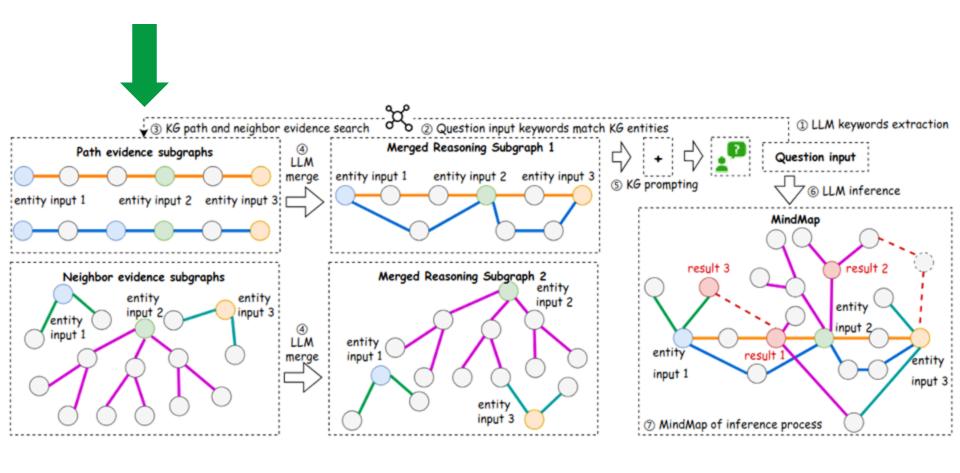


Motivation: Explainable and diverse reasoning process to mitigate hallucinations



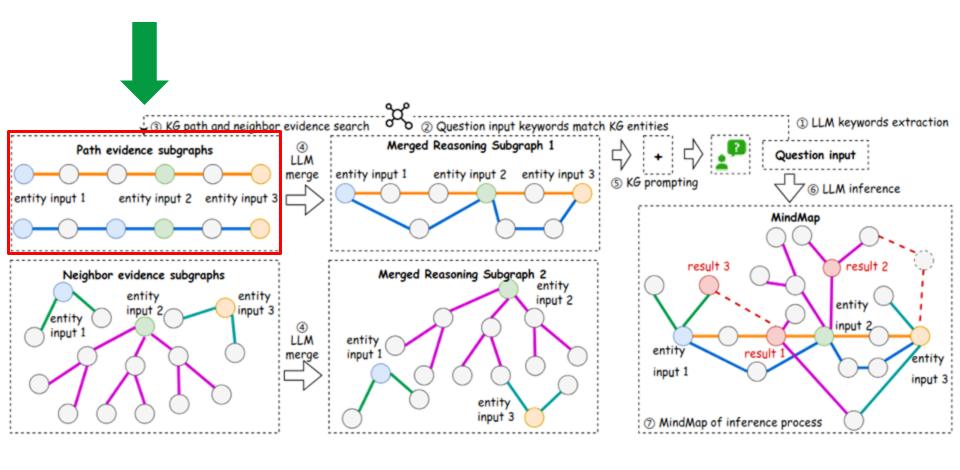


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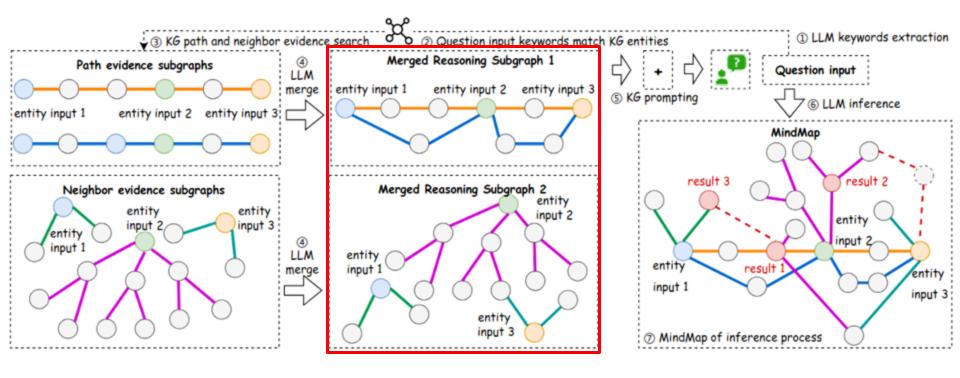


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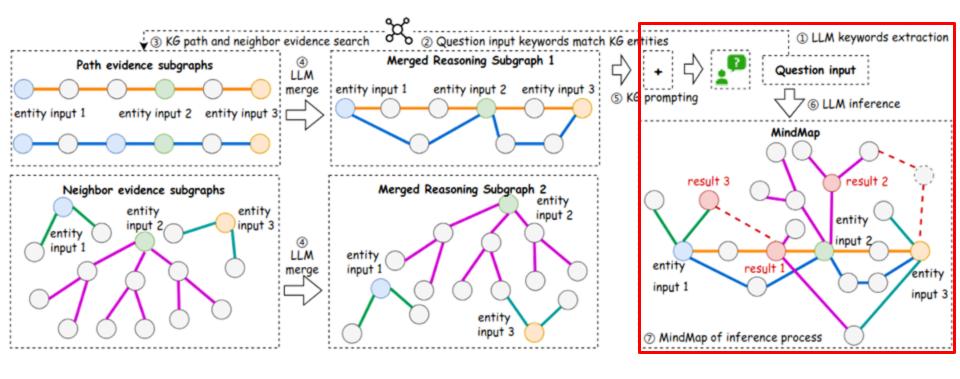


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Motivation: Explainable and diverse reasoning process to mitigate hallucinations

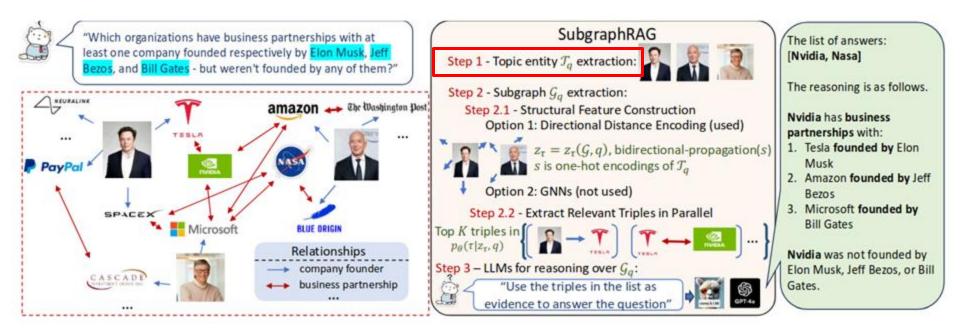




Knowledge Graph - SubGraphRAG

Motivation: There is a tradeoff between retrieval efficiency and reasoning abilities

Basic Idea: Use a GNN to learn how to extract the important paths for the query

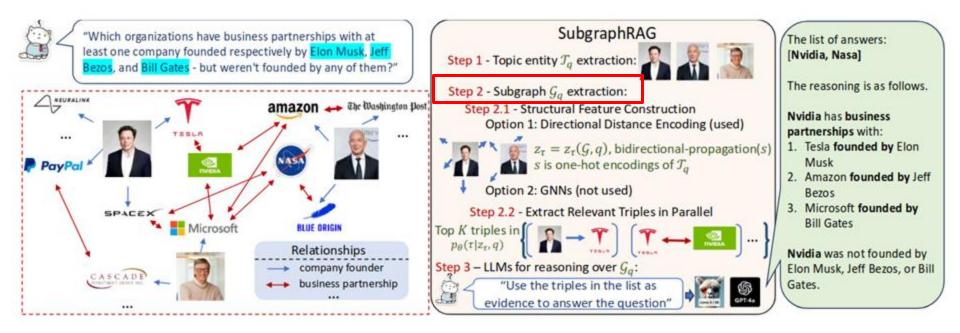




Knowledge Graph - SubGraphRAG

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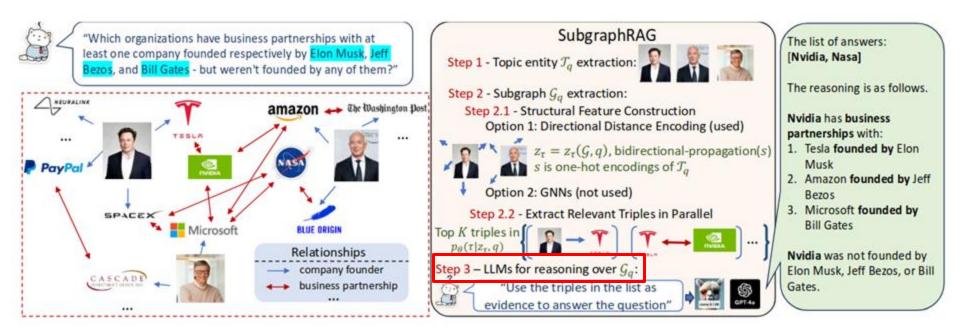




Knowledge Graph - SubGraphRAG

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Basic Idea: Use a GNN to learn how to extract the important paths for the query





Knowledge Graph - Future Work

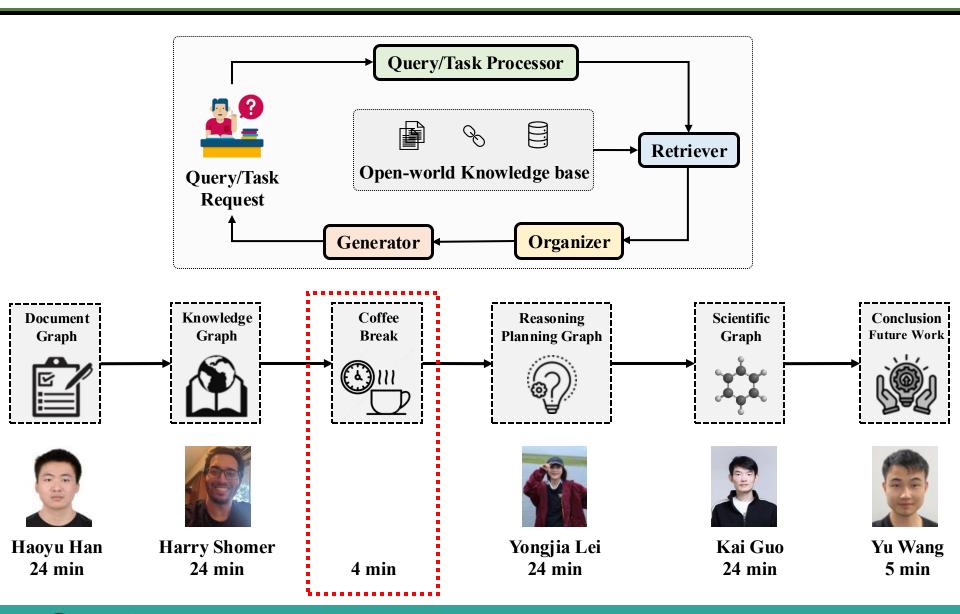
1. How to best **construct** KGs? What granularity should the node/edges be?

2. How do we harmonize the internal LLM knowledge and retrieved KG knowledge?

3. What's the best way of **organizing** the triples or paths for the LLM?

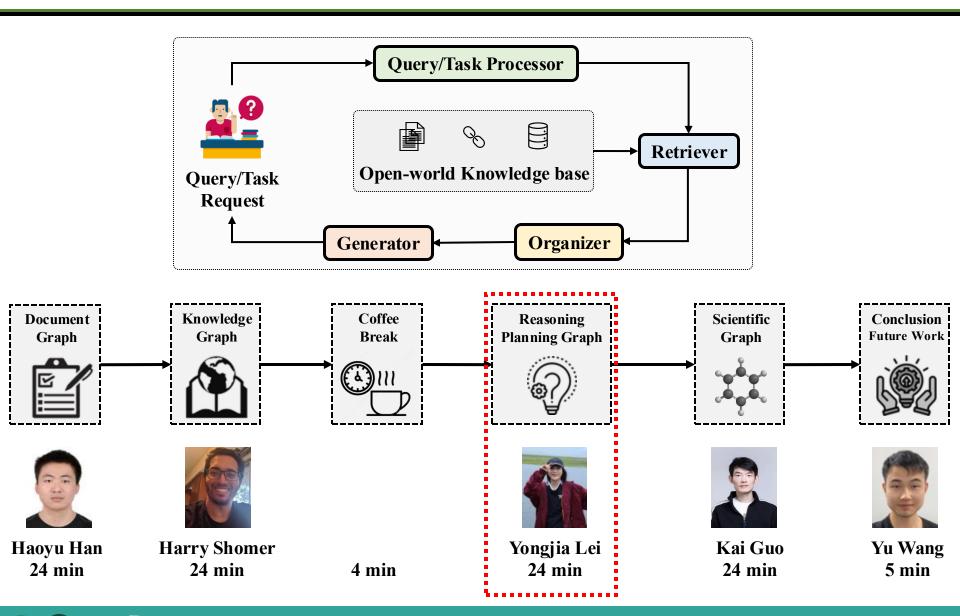


Outline





Outline





Reasoning & Planning Graph

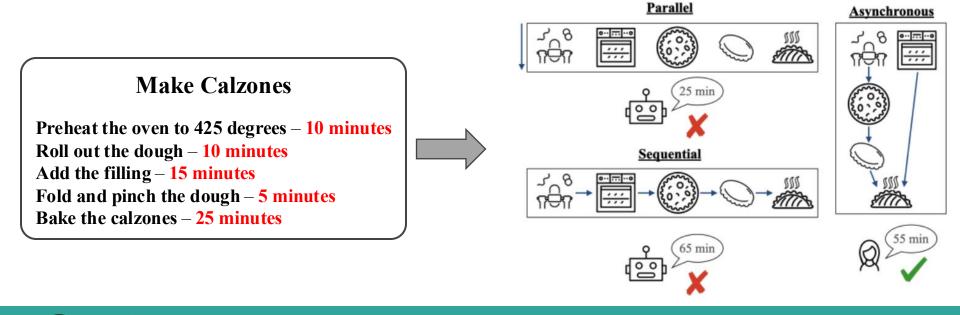
What is Reasoning?

Thinking logically and systematically
Using Evidence/past experiences for drawing conclusion and decision-making

What is Planning?

Formulating a series of actions or operations to achieve a specific goal.

Reasoning and Planning are deeply interconnected in RAG

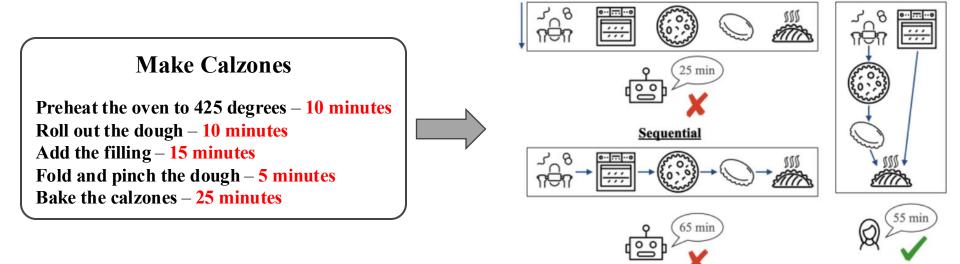




Reasoning & Planning Graph

Reasoning and Planning are deeply interconnected in RAG

Parallel



- Retrieving task components, e.g., actions, time
- Reasoning about dependencies
- Planning the execution order



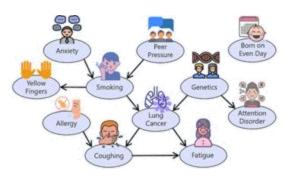
Asynchronous

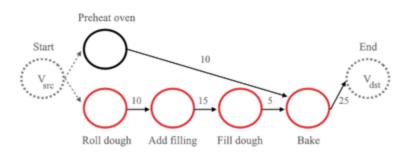
Reasoning & Planning Graph

Why Reasoning and Planning Graphs are Important in GraphRAG?

- Dependences/sequences to capture relations, e.g., Causal and Resource Dependency
- Structuring the Retrieval Process







Resource Dependency

Shen et al. 2024

Causal Dependency

LUCAS 2024

Temporal Dependency

Lin 2024

Common Dependencies in Graph Construction

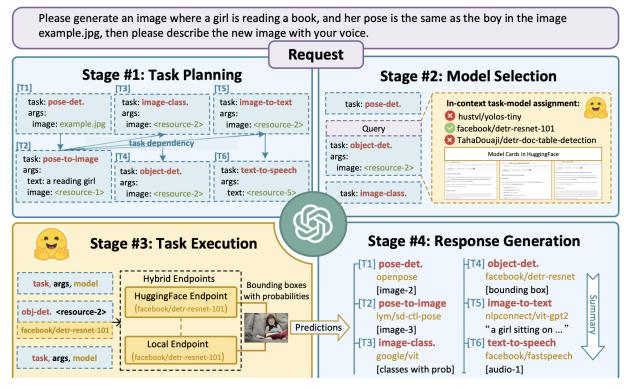


Task Planning: Retrieve/generate plan of steps/tools in graph format

HuggingGPT: Generation-based Planning

Planning Graph:

- Capture dependencies and execution orders
- Guide APIs retrieval
- Guide inter-model cooperation



How to enable LLMs conduct task planning?

 Specification-based Instruction

Demonstration-based Parsing

Prompt #1 Task Planning Stage - The AI assistant performs task parsing on user input, generating a list of tasks with the following format: { "task": task, "id", task id, "dep": dependency_task_ids, "args": {"text": text, "image": URL, "audio": URL, "video": URL}}. The "dep" field denotes the id of the previous task which generates a new resource upon which the current task relies. The tag "<resource>-task_id" represents the generated text, image, audio, or video from the dependency task with the corresponding task_id. The task must be selected from the following options: {{ Available Task List }}. Please note that there exists a logical connections and order between the tasks. In case the user input cannot be parsed, an empty JSON response should be provided. Here are several cases for your reference: {{ Demonstrations }}. To assist with task planning, the chat history is available as {{ Chat Logs }}, where you can trace the user-mentioned resources and incorporate them into the task planning stage. **Demonstrations** [{"task": "object-detection", "id": 0, "dep": [-1], "args": {"im Can you tell me how many objects in el.jpg? age": "e1.jpg" }}] [{"task": "image-to-text", "id": 0, "dep":[-1], "args": {"im age": "e2.ipg" }}, {"task":"image-cls", "id": 1, "dep": [-1], "args": {"image": "e2.jpg" }}, {"task":"object-detection", "id": In e2.jpg, what's the animal 2, "dep": [-1], "args": {"image": "e2.jpg" }}, {"task": "viand what's it doing? sual-question-answering", "id": 3, "dep":[-1], "args": {"text": "what's the animal doing?", "image": "e2.jpg" }}] First generate a HED image

[{"task": "pose-detection", "id": 0, "dep": [-1], "args": {"im

age": "e3.jpg" }}, {"task": "pose-text-to-image", "id": 1, "dep":

[0], "args": {"text": "a girl reading a book", "image": "<re-

source>-0" }}]

of e3.jpg, then based on the

HED image and a text "a

girl reading a book", create

a new image as a response.

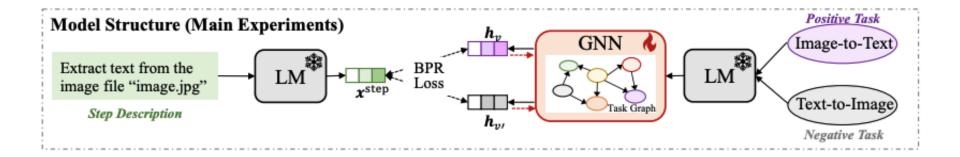
Challenges of Generation-based Task Planning

• Hallucinate non-existent tasks or dependencies (edges)

• Not invariant to graph isomorphism

Performance degrades as the task graph scales

Retrieval-based Task Planning



- Small frozen LM embeds sub-steps/task nodes in the pre-built task graph
- A GNN is applied over the task graph
 - Propagate information via pre-built dependencies
 - Refine node embeddings
- Retrieve matching tasks in the pre-built graph for sub-steps via similarity



Multi-step Reasoning: Solving problems via multiple calculations/steps

Question: Which publications from Altair Engineering authors focus on improving directional sensitivity across a wide range of frequencies?

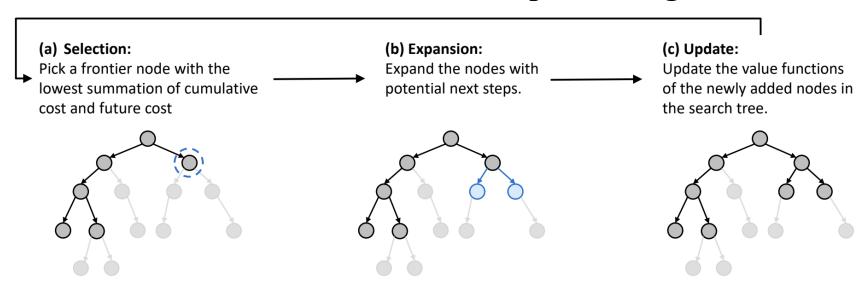


Institution < *Altair Engineering* > → **Author** → **Paper** < *improving directional sensitivity across a wide range of frequencies* >





Toolchain*: Efficient Action Space Navigation



Multi-step Reasoning → Graph Search; Node → API Function Call; Edge → Possible Transition

Monte Carlo Tree Search vs. A* Search

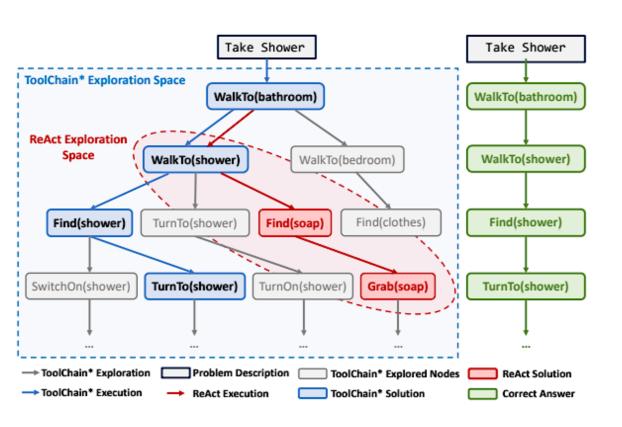
- A*: one-step based on cost function f(n) = g(n) + h(n)
 - g(n) cumulative cost from the root node to the current node n
 - h(n) heuristic estimation of the future cost from node n to the goal

MCTS: Simulates many random rollouts to

terminal states
$$Q(n, a) + c\sqrt{\frac{\log N(n)}{N(n, a)}}$$

- Q(n, a) average reward from history
- $\sqrt{\frac{\log N(n)}{N(n,a)}}$ encourages less-explored actions

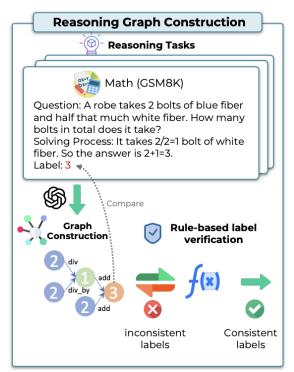
Case Study Comparison

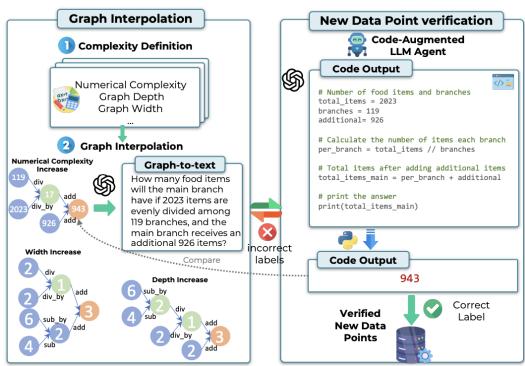


- Structured Exploration
 Instead of Greedy Paths
- Cost-guided Retrieval with Reasoning Flow

ToolChain* retrieves and reasons over a dynamically growing action graph

DARG: Dynamic Evaluation via Adaptive Reasoning Graph

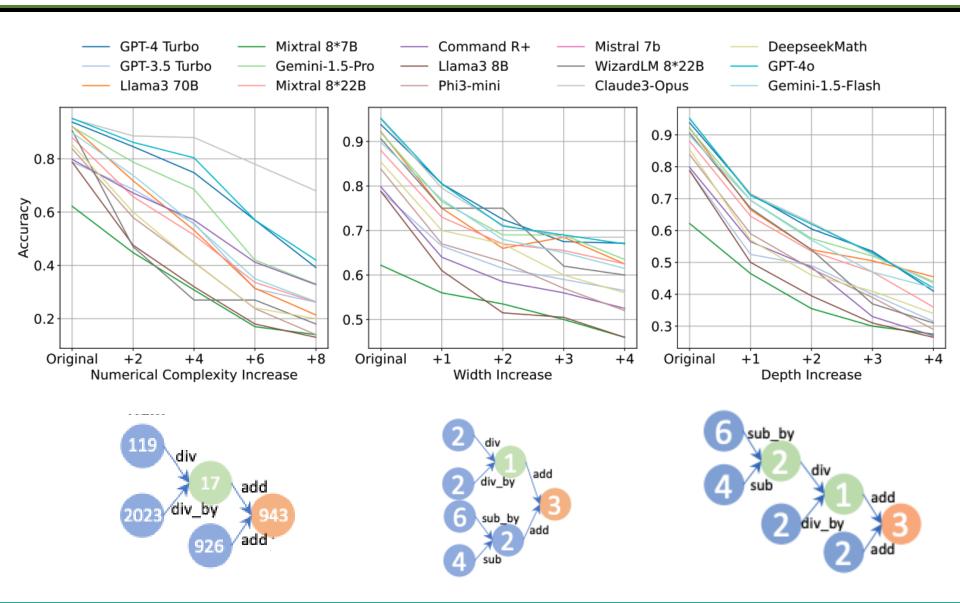




Reasoning graphs are powerful for reasoning ability evaluation

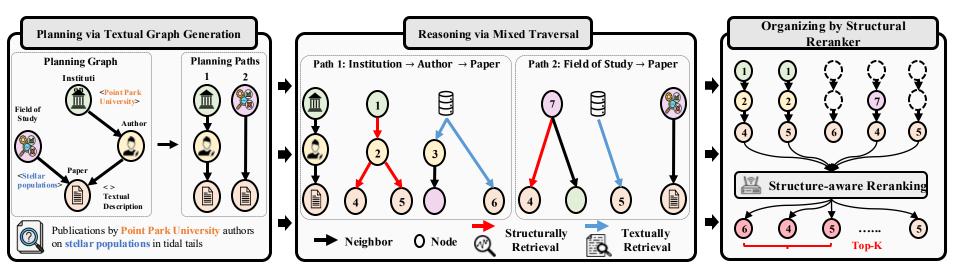
- Enable Structural Complexity Control
- Make LLM Reasoning Observable and Measurable
- Answer questions by retrieving underlying reasoning graph Logic Fetching





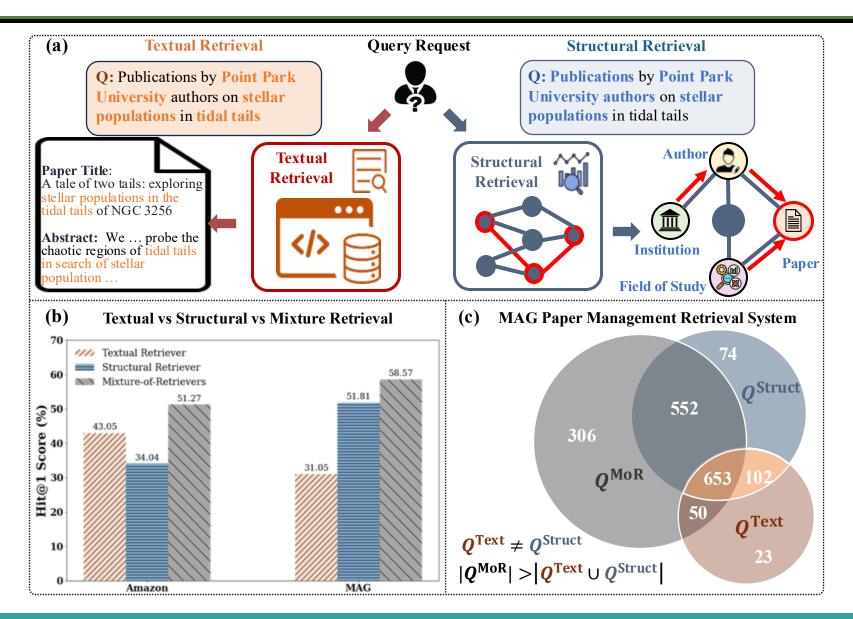


MoR - Mixture of Structural and Textual Retrieval



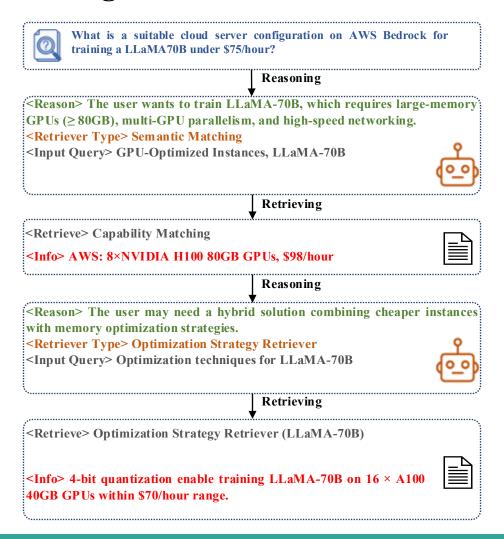
- **Planning** Given a query, generate its planning graph
- Reasoning Mixed traversal guided by generated planning graph
 - Structural retrieval via graph traversal
 - Textual retrieval via textual matching
- Organizing Structure-aware Rerank to select top-k candidates







Interleaved Reasoning and Retrieval via Reinforcement Learning





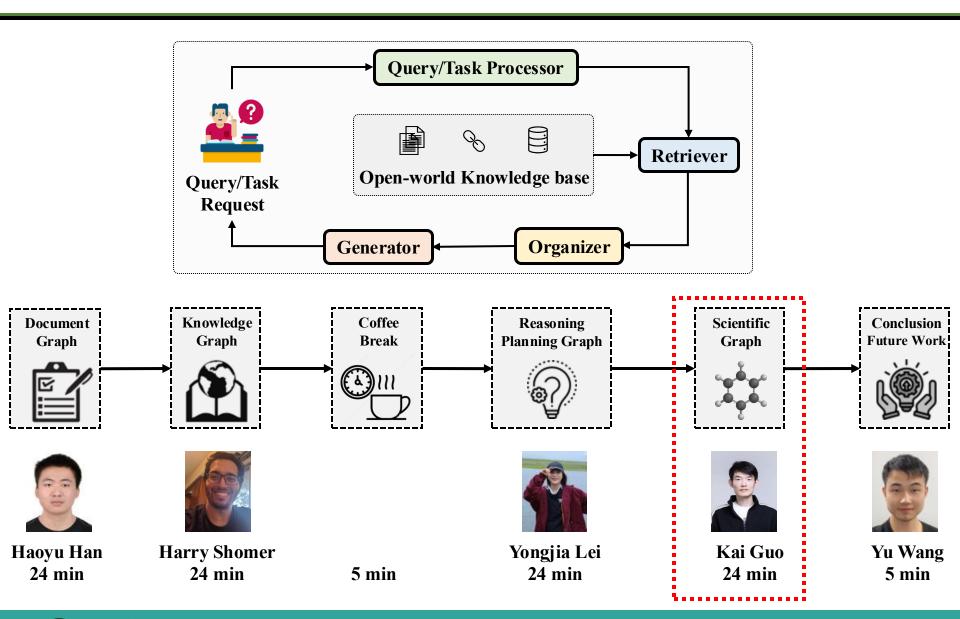
Interleaved Reasoning and Retrieval via Reinforcement Learning

- Multi-turn reasoning with realtime search (<think>, <search>,
 <information> tokens)
- Retrieved token masking for stable RL training
- Simple outcome-based reward to supervise the reasoning + retrieval behavior.

Algorithm 1 LLM Response Rollout with Multi-Turn Search Engine Calls

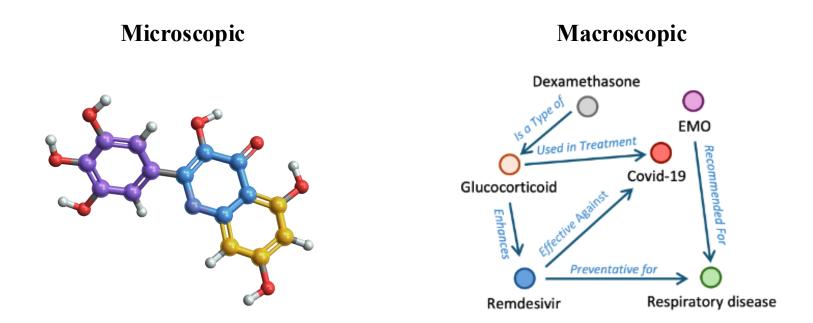
```
Require: Input query x, policy model \pi_{\theta}, search engine \mathcal{R}, maximum action budget B.
Ensure: Final response y.
 1: Initialize rollout sequence y \leftarrow \emptyset
 2: Initialize action count b \leftarrow 0
 3: while b < B do
         Initialize current action LLM rollout sequence y_b \leftarrow \emptyset
 5:
         while True do
             Generate response token y_t \sim \pi_{\theta}(\cdot \mid x, y + y_b)
 6:
             Append y_t to rollout sequence y_b \leftarrow y_b + y_t
 7:
             if y_t in [</search>, </answer>, <eos>] then break
             end if
 9:
         end while
10:
11:
         y \leftarrow y + y_b
         if \langle search \rangle \langle search \rangle detected in y_h then
12:
             Extract search query q \leftarrow \text{Parse}(y_b, \langle \text{search} \rangle, \langle \text{search} \rangle)
13:
             Retrieve search results d = \mathcal{R}(q)
14:
             Insert d into rollout y \leftarrow y + \langle information \rangle d \langle /information \rangle
15:
         else if <answer> </answer> detected in y_h then
16:
17:
             return final generated response y
18:
         else
             Ask for rethink y \leftarrow y + "My action is not correct. Let me rethink."
19:
20:
         end if
         Increment action count b \leftarrow b + 1
21:
22: end while
23: return final generated response y
```

Outline



Scientific Graph

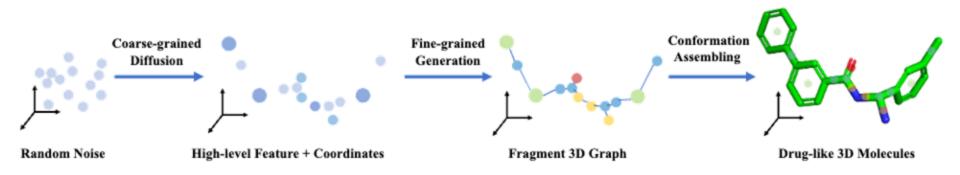
What is scientific graph?



What kind of tasks can we do on scientific graph?



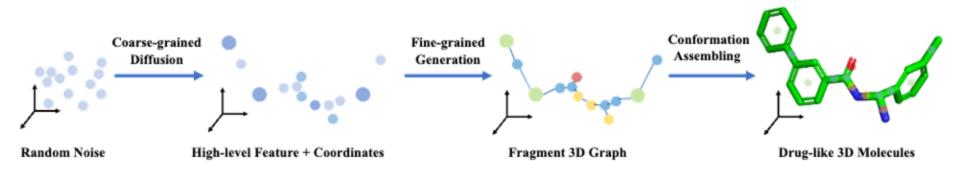
Molecule Generation



- Random Noise: Initialize fragment feature vectors and 3D positions as random noise.
- Coarse-grained Diffusion: Diffusion-denoise fragment features and coarse 3D positions to form a high-level scaffold.
- **Fine-grained Generation:** Employ an Equivariant GNN with iterative refinement to predict fragment bonds and precise atomic coordinates.
- Conformation Assembling: Assemble fragments into a complete 3D molecule.



Why GraphRAG for Molecule Generation?



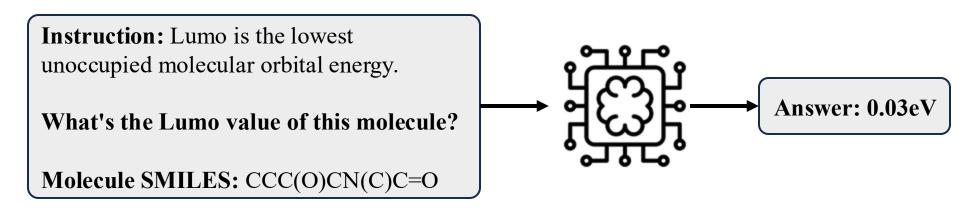
- Slow, resource-heavy generation: Efficient generation guided by retrieved high-performing exemplar molecules.
- Lack of prior chemical knowledge: Introduce real molecules or fragments as structural priors to improve generation quality.
- Lack of controllability: Guide the generation direction precisely based on retrieved molecules with desired properties.



Scientific Graph - Molecule Property Prediction

Molecule Property Prediction

Molecule property prediction is the task of using LLMs to predict a molecule's chemical properties from its structural representation.



SMILES is a textual encoding of molecules topology, such as atom types, bond types, and branching



Scientific Graph - Molecule Property Prediction

Why GraphRAG for Molecule Property Prediction?

Instruction: The assay is PUBCHEM-BIOASSAY: NCI human tumor cell line growth inhibition assay.

Question: Is this molecule effective to this assay?

Input: CNC=O

Instruction: The assay is PUBCHEM-BIOASSAY:

NCI human tumor cell line growth inhibition assay. Here are some examples.

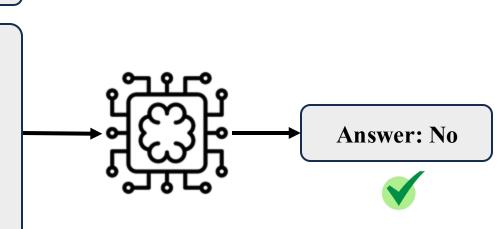
Examples:

CC(C)C(N)=O No

O=CNC=Cc1ccccc1 No

Question: Is this molecule effective to this assay?

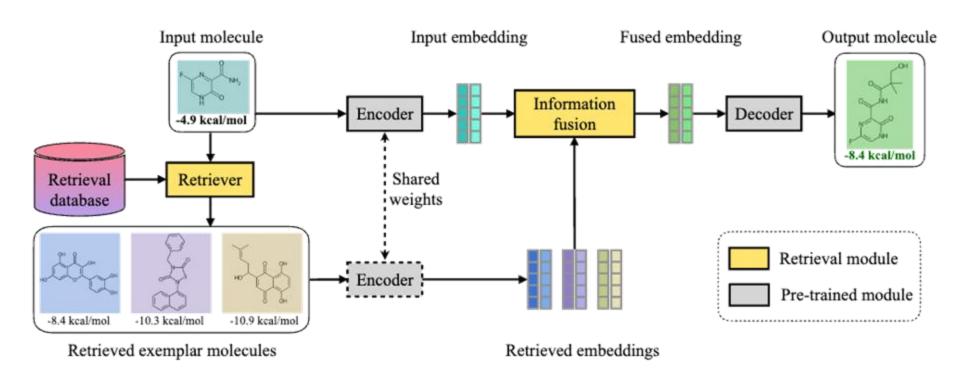
Input: CNC=O



By retrieving exemplar molecules **structurally similar** to CNC=O as demonstration and including them in the prompt, the LLM can make accurate predictions.



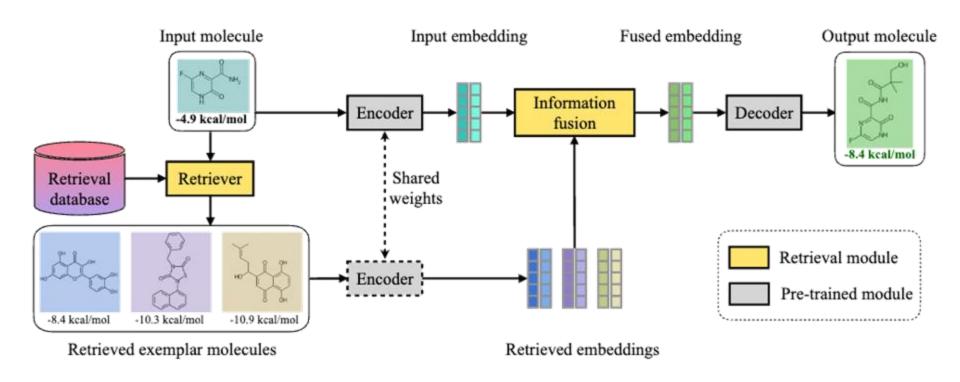
Answer: Yes



Main problem: Data is scarce and Molecular Property Control is Difficult

Core idea: Retrieve a set of exemplar molecules to guide the generation model.



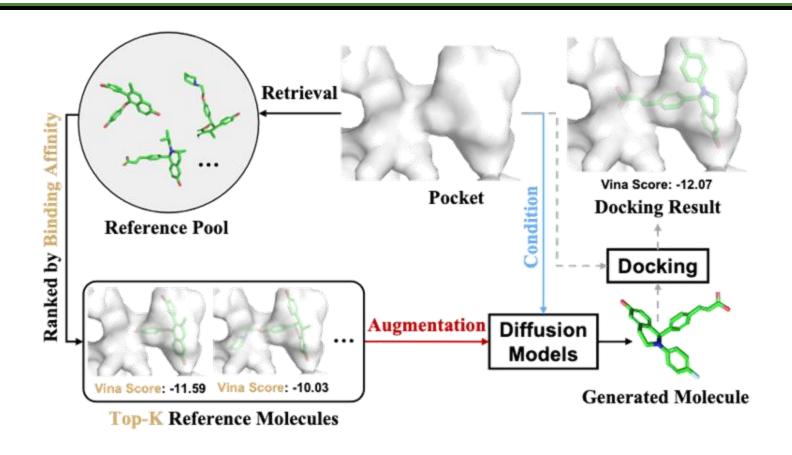


Retrieval Database: Collect exemplar molecules with desired properties.

Molecule Retrieval: Property filtering, then select top-K similar molecules using KNN.

Information Fusion: Use cross-attention to fuse input and exemplar embeddings for molecule generation via a pre-trained transformer-based model.



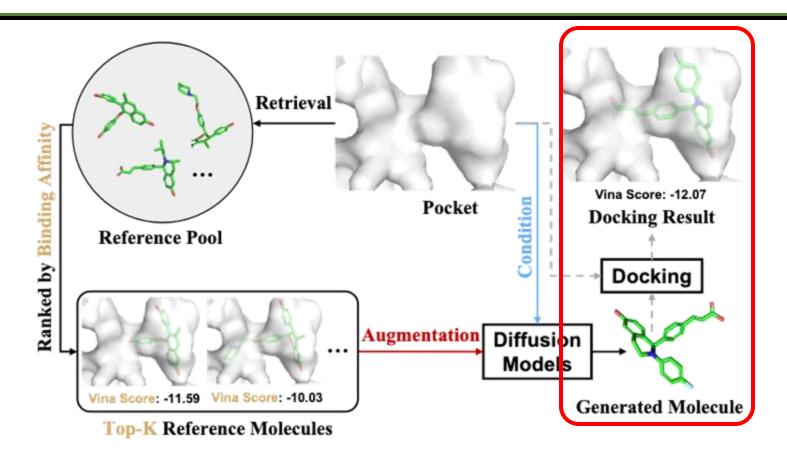


Main problem: Molecule generation without target awareness \rightarrow poor binding.

Core idea: Retrieve binding-aware references \rightarrow guide diffusion to generate target-specific, high-affinity molecules.



Scientific Graph - Molecule Generation



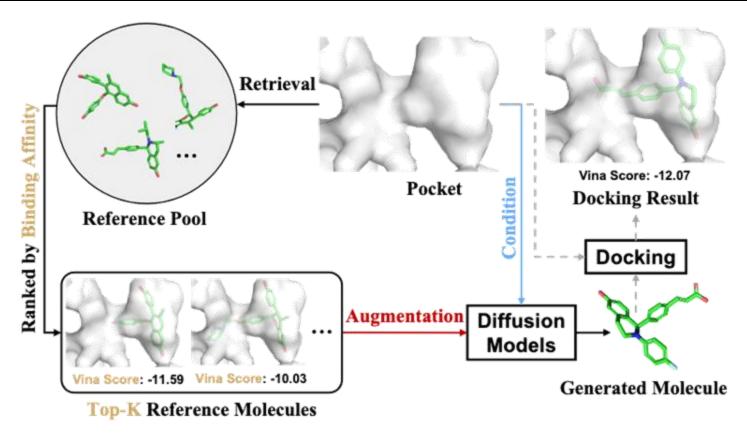
What is docking?

Predict and select small molecules that can effectively bind to disease-related protein targets.

Starting points for further optimization toward the development of drug candidates.



Scientific Graph - Molecule Generation

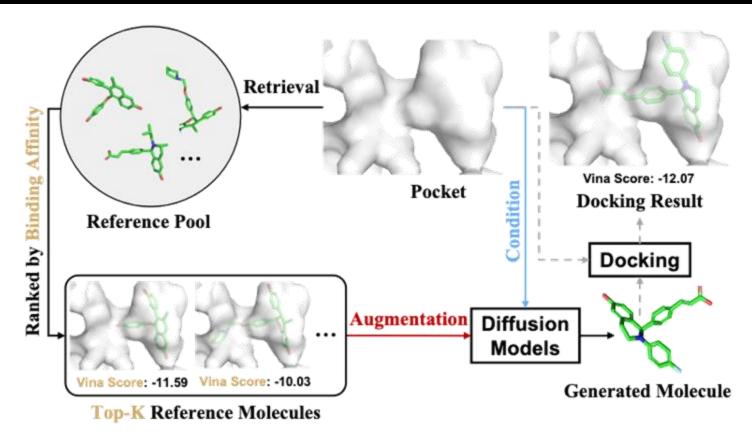


Why use graph-based retrieval?

- Ignore target protein structure → Poor binding when evaluated by docking.
- Retrieve strong-binding reference molecules → Guide diffusion model → Generate protein-specific, high-affinity molecules.



Scientific Graph - Molecule Generation



How to retrieve reference molecules?

- Target Pocket Encoding
- Precompute Reference Pool
- Similarity Search (L2 Distance)

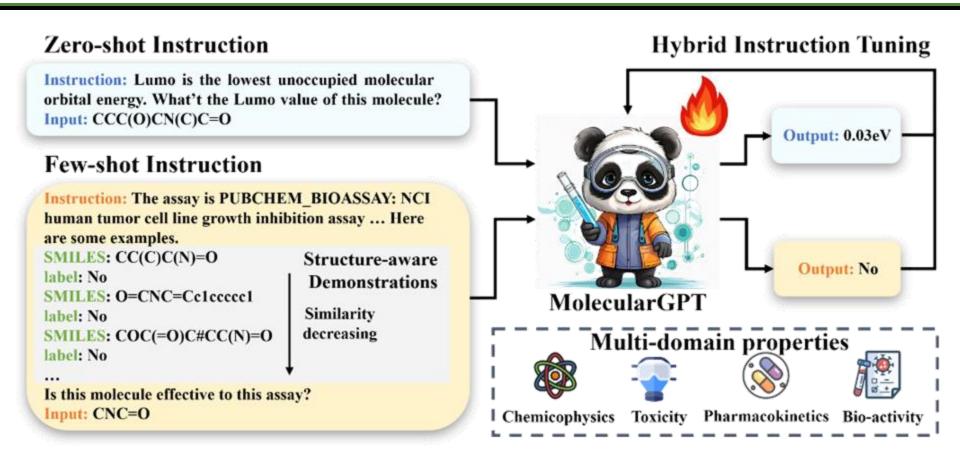
- Retrieve Top-K Molecules
- Use for Generation







Scientific Graph - Molecule Property Prediction

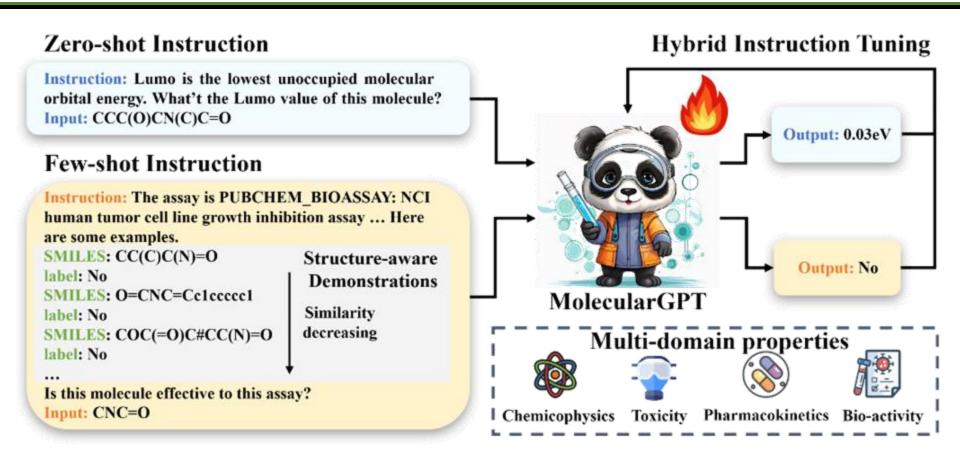


Main problem: LLMs lack domain-specific Knowledge

Core idea: MolecularGPT retrieve relevant molecules based on structure to enhance LLM.



Scientific Graph - Molecule Property Prediction



Data Preparation: Collect (molecule, property) pairs

SMILES Conversion: Represent molecules as SMILES strings for input.

Neighbor Retrieval: Tanimoto similarity

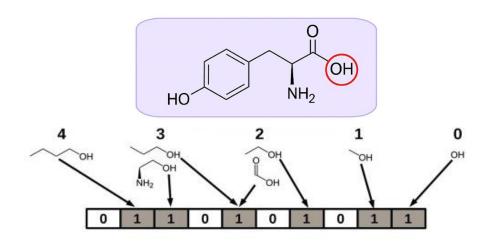


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Scientific Graph - Molecule Property Prediction

What is Tanimoto similarity?

A similarity metric between two binary fingerprints A and B



$$\operatorname{Tanimoto}(A,B) = rac{|A \cap B|}{|A| + |B| - |A \cap B|}$$

Data Preparation: Collect (molecule, property) pairs

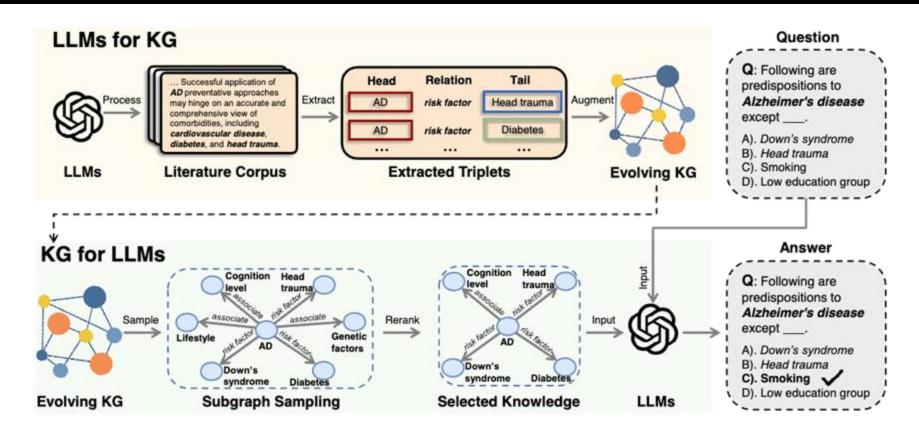
SMILES Conversion: Represent molecules as SMILES strings for input.

Neighbor Retrieval: Tanimoto similarity



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Scientific Graph - Question Answering

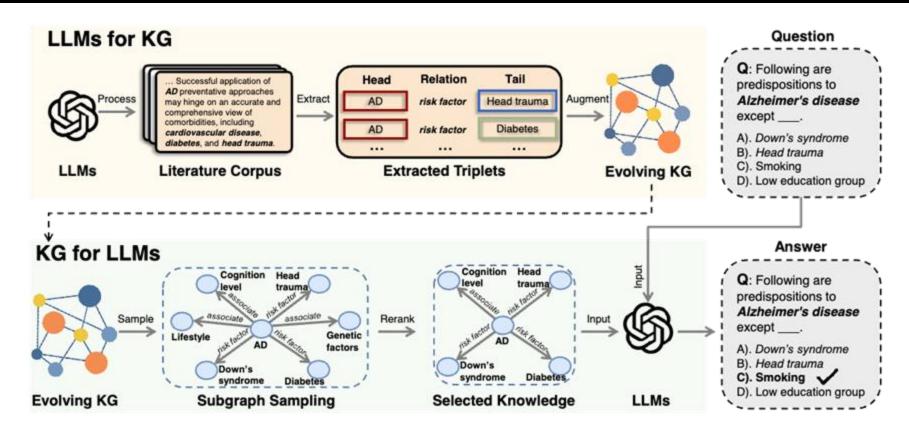


Main problem: LLMs struggle to answer Alzheimer's Disease (AD) questions due to limited integration of specialized biomedical knowledge.

Core idea: DALK augments LLMs with a scientific literature-derived knowledge graph to improve reasoning on AD-related questions.



Scientific Graph - Question Answering



Entity Recognition: Use <u>PubTator Central</u> to identify biomedical entities. Relation Extraction:

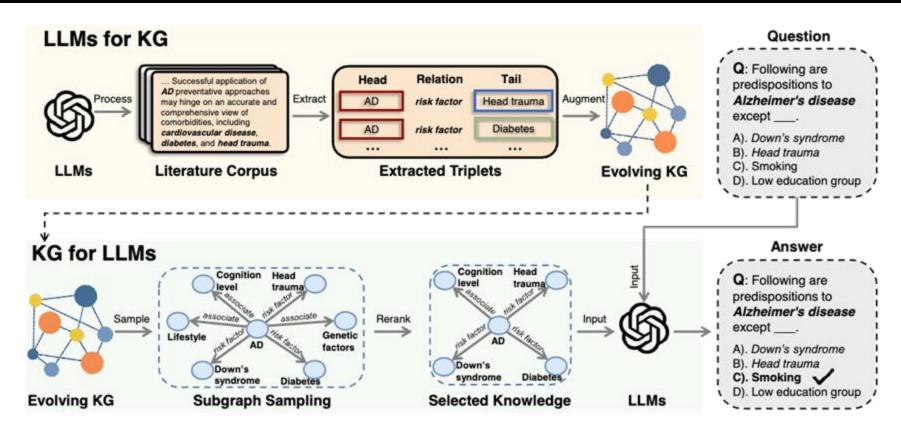
- Pairwise: LLMs describe pairwise relations.

- Generative: LLMs generate all triplets.

Evolving KG: Update KG to reflect new discoveries annually



Scientific Graph - Question Answering



Entity Extraction and Linking for query

Path Exploration: K-hop path triplets of seeding nodes and their induced subgraph

Neighbor Exploration: Neighbor of seeding nodes and their induced subgraph



Scientific Graph - Future Direction

Multi-modal GraphRAG for Scientific Graph

Motivation: Scientific data is inherently multi-modal:

- Text (Papers, Document)
- **Image** (Medical Images: MRI and CT)
- Table

Current GraphRAG mainly focus on text and structure separately.



Scientific Graph - Future Direction

Towards Trustworthy GraphRAG for Scientific Graphs

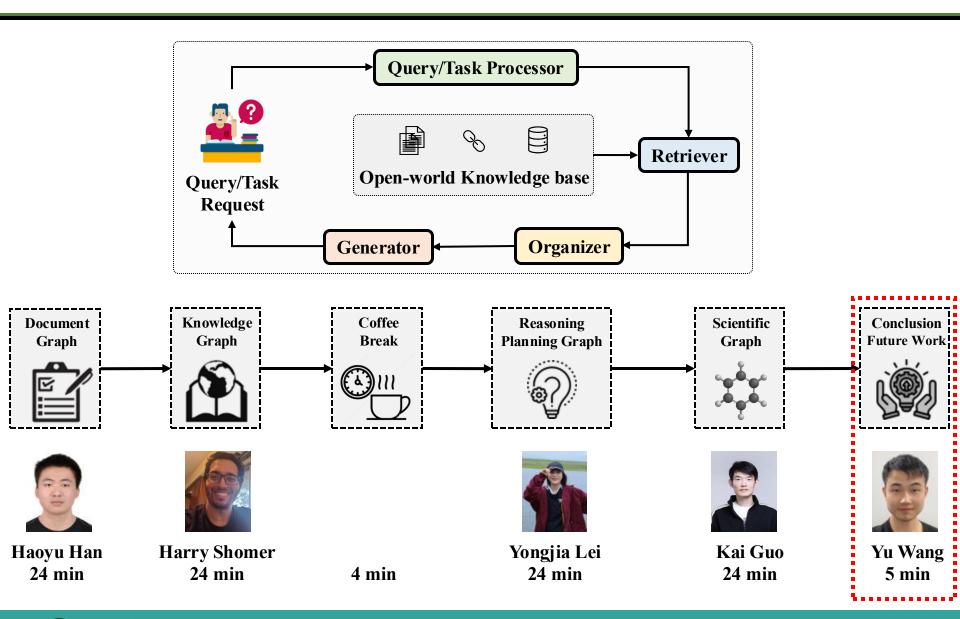
Motivation: GraphRAG has been really deployed in many high-stake scenarios

- Retrieval focuses on associative facts, not verified causal relations
- Generated answers lack scientific rigor and are less trustworthy

Building Causal Evidence Rule-based Retrieval-augmented Generation

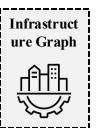


Outline

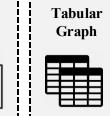


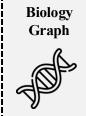


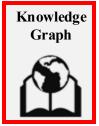
Conclusion





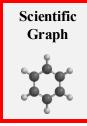




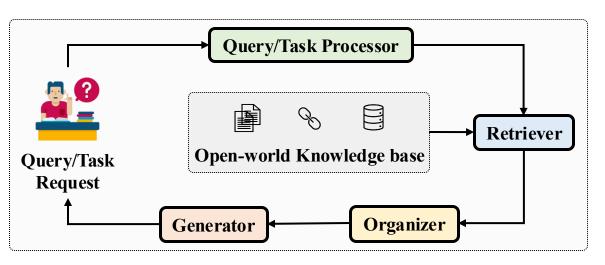






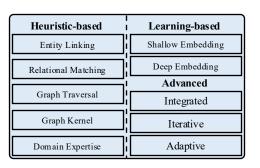


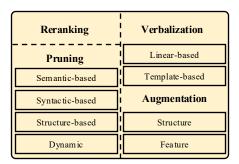


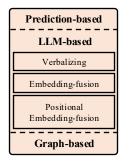


https://github.com/Grap h-RAG/GraphRAG/









Graph Construction

Explicit Construction

Implicit Construction

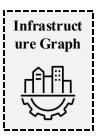




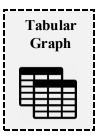


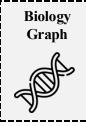


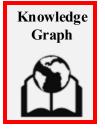
Future Work 1 – GraphRAG on other domains





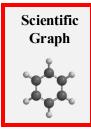




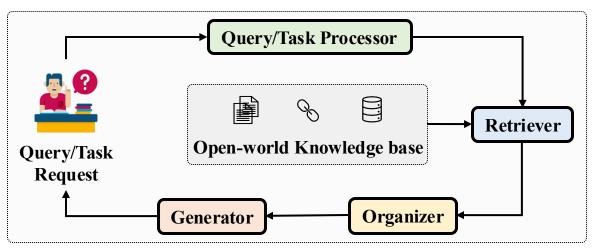


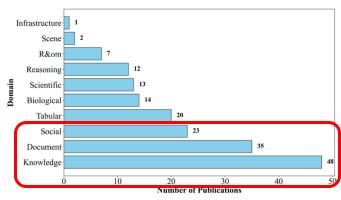






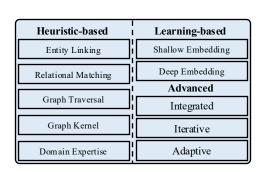






Statistics surveyed until 12/31/2024





Reranking	Verbalization
Pruning	Linear-based
Semantic-based	Template-based
Syntactic-based	I Augmentation
Structure-based	Structure
Dynamic	Feature

Prediction-based	
LLM-based	
Verbalizing	
Embedding-fusion	
Positional Embedding-fusion	
Graph-based	

Graph Construction Explicit Construction Implicit Construction



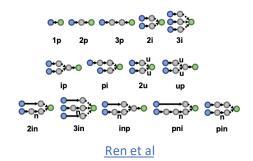






Future Work 2 – GraphRAG Module Design

• **Query Preprocessor** – Analyze Query Structure and Topology



Retriever

- Harmonizing Internal and External Knowledge
- How to embedding different types of structured knowledge (e.g., cluster vs path)
- Reasoning, planning, and thinking along the way (e.g., Search-R1)

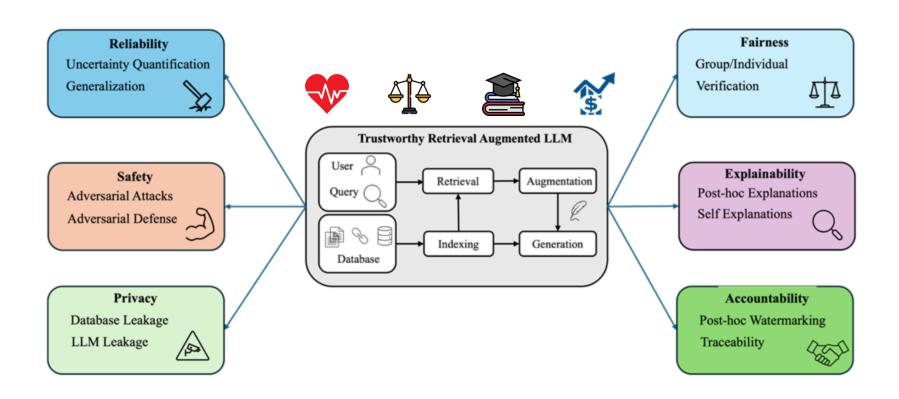
Organizer

- Retrieved Graph can be large, balancing completeness and conciseness (e.g., exponentially growth receptive field)
- Optimal Data Structuring that generator can leverage
- Align retrieved resources from different parties (e.g., multi-modality graph)

Generator

- Correct Format of Prompting (e.g., adjacent list, markdown format,)
- Structural Encoding for expressing the graph structure

Future Work 3 – Trustworthy GraphRAG

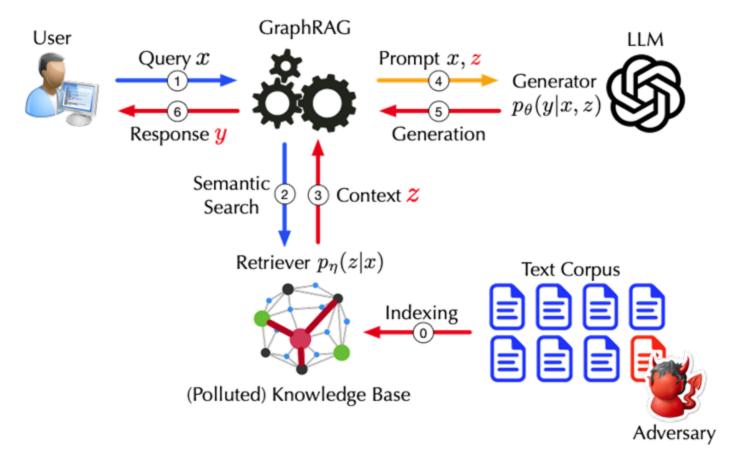


How about the unique trustworthy challenges caused graph structure?

https://github.com/Arstanley/Awesome-Trustworthy-RAG



Future Work 3 – Trustworthy GraphRAG



How about the unique trustworthy challenges caused graph structure?

https://github.com/Arstanley/Awesome-Trustworthy-RAG

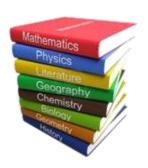


Future Work 4 – Data-centric GraphRAG

Balance Internal and External Knowledge



V.S.





• Trade-off Among Accuracy, Diversity, and Novelty







Thank you for your listening!





Retrieval-Augmented Generation with Graphs (GraphRAG)

Haoyu Han¹; Yu Wang²; Harry Shomer¹, Kai Guo¹, Jiayuan Ding⁵, Yongjia Lei²,
Mahantesh Halappanavar³, Ryan A. Rossi⁴, Subhabrata Mukherjee⁵, Xianfeng Tang⁵, Qi He⁶,
Zhigang Hua², Bo Long², Tong Zhao³, Neil Shah³, Amin Javari², Yinglong Xia², Jiliang Tang¹
¹Michigan State University, ²University of Oregon, ³Pacific Northwest National Laboratory
⁴Adobe Research, ⁵Hippocratic Al, ʿamazon, ʿMeta, ʿSnap Inc., 'The Home Depot,
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{zhua, bolong, yxia}@msta.com, {tong, nshah}@snap.com, amin_javari@homedepot.com

Abstract

Retrieval-augmented generation (RAG) is a powerful technique that enhances downstream task execution by retrieving additional information, such as knowledge, skills, and tools from external sources. Graph, by its intrinsic "nodes connected by edges" nature, encodes massive heterogeneous and relational information, making it a golden resource for RAG in tremendous real-world applications. As a result, we have recently witnessed increasing attention on equipping RAG with Graph, i.e., GraphRAG. However, unlike conventional RAG, where the retriever, generator, and external data sources can be uniformly designed in the neural-embedding space, the uniqueness of graph-structured data, such as diverse-formatted and domain-specific relational knowledge, poses unique and significant challenges when designing GraphRAG for different domains. Given the broad applicability, the associated design challenges, and the recent surge in GraphRAG, a systematic and up-to-date survey of its key concepts and techniques is urgently desired. Following this motivation, we present a comprehensive and up-to-date survey on GraphRAG. Our survey first proposes a holistic GraphRAG framework by defining its key components, including query processor, retriever, organizer, generator, and data source. Furthermore, recognizing that graphs in different domains exhibit distinct relational patterns and require dedicated designs, we review GraphRAG techniques uniquely tailored to each domain. Finally, we discuss research challenges and brainstorm directions to inspire cross-disciplinary opportunities. Our survey repository is publicly maintained at https://github.com/Graph-RAG/GraphRAG

GraphRAG



SDM25-GraphRAG

Towards Trustworthy Retrieval Augmented Generation for Large Language Models: A Survey

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¹Vanderbilt University, ²University of Notre Dame, ³University of Oregon, ⁴Meta, ⁵Oracle Health AI, ⁶Adobe Research, ⁷Cisco AI Research, ⁸North Carolina State University, ⁹The Hong Kong Polytechnic University, ¹⁰Air Force Research Lab

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Abstract

Retrieval-Augmented Generation (RAG) is an advanced technique designed to address the challenges of Artificial Intelligence-Generated Content (AIGC). By integrating context retrieval into content generation, RAG provides reliable and up-to-date external knowledge, reduces hallucinations, and ensures relevant context across a wide range of tasks. However, despite RAG's success and potential, recent studies have shown that the RAG paradigm also introduces new risks, including robustness issues, privacy concerns, adversarial attacks, and accountability issues. Addressing these risks is critical for future applications of RAG systems, as they directly impact their trustworthiness. Although various methods have been developed to improve the trustworthiness of RAG methods, there is a lack of a unified perspective and framework for research in this topic. Thus, in this paper, we aim to address this gap by providing a comprehensive roadmap for developing trustworthy RAG systems. We place our discussion around five key perspectives: reliability, privacy, safety, fairness, explainability, and accountability. For each perspective, we present a general framework and taxonomy, offering a structured approach to understanding the current challenges, evaluating existing solutions, and identifying promising future research directions. To encourage broader adoption and inno vation, we also highlight the downstream applications where trustworthy RAG systems have a significant impact. For more information about the survey, please

Trustworthy RAG



We really appreciate the travel support from SIAM for some of our teammates in presenting this tutorial!

Lead Tutors



















Survey Collaborators (Order by Random)











































